

Acyclic sets in k -majority tournaments

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Abstract

When Π is a set of k linear orders on a ground set X , and k is odd, the k -majority tournament generated by Π has vertex set X and has an edge from u to v if and only if a majority of the orders in Π rank u before v . Let $f_k(n)$ be the minimum, over all k -majority tournaments with n vertices, of the maximum order of an induced transitive subtournament. We prove that $f_3(n) \geq \sqrt{n}$ always and that $f_3(n) \leq 2\sqrt{n} - 1$ when n is a perfect square. We also prove that $f_5(n) \geq n^{1/4}$. For general k , we prove that $n^{c_k} \leq f_k(n) \leq n^{d_k}$, where $c_k = 3^{-(k-1)/2}$ and $d_k = \frac{(\ln \ln k) + 2}{(\ln k) - 1} (1 + \frac{\ln k}{n})$.

1 Introduction

When Π is a set of linear orders on a ground set X , the *majority digraph* of Π has vertex set X and has an edge from u to v if and only if a majority of the orders in Π rank u before v . When Π has size k and k is odd, the majority digraph is a k -majority tournament. A k -majority tournament is a model of the consensus preferences of a group of k individuals.

In studying generalized voting paradoxes, McGarvey [7] showed that every n -vertex tournament is realizable as a k -majority tournament with $k = 2\binom{n}{2}$. Erdős and Moser [6] improved this by showing that $k = O(n/\log n)$ always suffices, and Stearns [4] showed that $k = \Omega(n/\log n)$ is sometimes necessary.

In addition to modeling group preferences using a small number of criteria, the k -majority tournaments for fixed k form a well-behaved class of tournaments. For example, consider

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domination. The *domination number* of a directed graph D , denoted $\gamma(D)$, is the minimum size of a vertex subset S such that each vertex not in S has an immediate predecessor in S . In general, Erdős [5] showed that n -vertex tournaments can have domination number $\Omega(\log n)$. In contrast, for k -majority tournaments the domination number is bounded; Alon *et al.* [8] proved that every k -majority tournament has domination number at most $O(k \log k)$ and constructed k -majority tournaments with domination number at least $\Omega(k/\log k)$.

A set of vertices in a tournament is *acyclic* if the subtournament induced by it contains no cycle. Let $a(D)$ denote the maximum size of an acyclic set in D . Erdős and Moser [6] showed that every n -vertex tournament has an acyclic set of size at least $\lfloor \lg n \rfloor + 1$, where “ \lg ” denotes \log_2 . Furthermore, they showed by a probabilistic argument that almost every n -vertex tournament T satisfies $a(T) \leq 2\lfloor \lg n \rfloor + 1$.

In contrast, every n -vertex k -majority tournament has an acyclic set whose size is bounded below by a polynomial in n . Let

$$f_k(n) = \min\{a(T) : T \text{ is an } n\text{-vertex } k\text{-majority tournament}\}.$$

We prove that $f_3(n) \geq \sqrt{n}$ always and that $f_3(n) \leq 2\sqrt{n} - 1$ when n is a perfect square. We also prove that $f_5(n) \geq n^{1/4}$. For general k , we prove that $n^{c_k} \leq f_k(n) \leq n^{d_k}$, where $c_k = 3^{-(k-1)/2}$ and $d_k = \frac{1+\lg \lg k}{-1+\lg k} (1 + \frac{\lg k}{n})$. In proving the upper bound on $f_k(n)$, we use the existence of an r -vertex tournament T with $a(T) \leq 2 \lg r + 1$.

In discussing acyclic sets in tournaments, we use the elementary characterizations of such sets. A set is acyclic if and only if the subtournament induced by it is transitive, which holds if and only if it induces no triangle, where a *triangle* is a (directed) 3-cycle. We also use the Erdős–Szekeres Theorem.

Theorem (Erdős–Szekeres [3]). *Every list of more than $(r-1)(s-1)$ distinct integers has a monotone increasing sublist of length r or a monotone decreasing sublist of length s .*

Let Π be a set of linear orderings of a ground set X . A set of elements of X is Π -*consistent* if it appears in the same order in each member of Π . When Π has even size, a set S of elements of X is Π -*neutral* if for all distinct $u, v \in S$, element u appears before element v in exactly half the members of Π . Note that if S is $\{\pi_1, \pi_2\}$ -neutral, then π_1 ranks the elements of S in reverse order from π_2 . We use the following rephrasing of the Erdős–Szekeres Theorem.

Theorem (Erdős–Szekeres [3]). *If π_1 and π_2 are linear orderings of a set X with $|X| > (r-1)(s-1)$, then X has a $\{\pi_1, \pi_2\}$ -consistent set of size r or a $\{\pi_1, \pi_2\}$ -neutral set of size s .*

Proof. Rename the elements of X so that π_1 is the identity ordering $(1, \dots, n)$, and apply the Erdős–Szekeres Theorem to π_2 . □

Acyclic sets in tournaments are related to independent sets and cliques in graphs; let $\alpha(G)$ and $\omega(G)$ denote the maximum sizes of a clique and an independence set in a graph G , respectively. Let $[n] = \{1, \dots, n\}$. Graphs and tournaments with vertex set $[n]$ correspond as follows: two vertices are adjacent in G if and only if the edge joining them in T points from the smaller vertex to the larger. Every clique or independent set in G is acyclic in T , so $a(T) \geq \max\{\alpha(G), \omega(G)\}$.

Although acyclic sets in T need not be cliques or independent sets in G , still the Erdős–Szekeres Theorem yields an upper bound. Let S be a largest acyclic set in T . Let π_1 be the restriction to S of the usual ordering of $[n]$, and let π_2 be the transitive order formed by S in T . Now any $\{\pi_1, \pi_2\}$ -neutral set is an independent set in G , and any $\{\pi_1, \pi_2\}$ -consistent set is a clique in G . Hence the Erdős–Szekeres Theorem implies $\max\{\alpha(G), \omega(G)\} \geq \sqrt{|S|}$, or $a(T) \leq (\max\{\alpha(G), \omega(G)\})^2$.

2 $k = 3$ and $k = 5$

In this section, we prove bounds on $f_k(n)$ when k is 3 or 5. When $k = 3$, our upper and lower bounds differ only by a factor of 2.

Beame and Huynh-Ngoc [2] gave a simple argument that when $\{\pi_1, \pi_2, \pi_3\}$ is a set of three orderings of $[n]$, there is a $\{\pi_i, \pi_j\}$ -consistent set of size $n^{1/3}$ for some $i, j \in \{1, 2, 3\}$. Beame, Blais, and Huynh-Ngoc [1] proved that for integers n and k with $k \geq 3$ and $n \geq k^2$, there is a set of k orderings of $[n]$ in which no two orderings have a consistent set of size greater than $16(nk)^{1/3}$.

When two of three orderings are consistent on a set, that set is acyclic in the resulting 3-majority tournament. Thus $f_3(n) \geq n^{1/3}$ using only sets that are consistent in two of the orders. By considering also acyclic sets that are neutral in the first two orders, we improve the lower bound.

Proposition 2.1. $f_3(n) \geq \sqrt{n}$.

Proof. Let T be an n -vertex 3-majority tournament realized by $\{\pi_1, \pi_2, \pi_3\}$. By the Erdős–Szekeres Theorem, there is a $\{\pi_1, \pi_2\}$ -consistent set of size at least \sqrt{n} or a $\{\pi_1, \pi_2\}$ -neutral set of size at least \sqrt{n} . In the first case, this set is acyclic.

Otherwise, let S be a $\{\pi_1, \pi_2\}$ -neutral set of size at least \sqrt{n} . Since S is $\{\pi_1, \pi_2\}$ -neutral, it follows that S induces a transitive subtournament of T with vertices in the same order as in π_3 . Hence S is acyclic. \square

Despite the simplicity of Proposition 2.1, the bound is not far from optimal.

Theorem 2.2. *If n is a perfect square, then $f_3(n) \leq 2\sqrt{n} - 1$.*

Proof. Let $n = r^2$, and let $X = [r] \times [r]$. View X as labeling points in the first quadrant of the plane, so that (x_1, x_2) gives (column, row) index pairs. We define orderings π_1, π_2, π_3 of X and argue that $a(T) \leq 2r - 1$, where T is the resulting 3-majority tournament on X .

$$\begin{aligned} (u_1, u_2) < (v_1, v_2) \text{ in } \pi_1 &\iff u_2 < v_2 \text{ or } (u_2 = v_2 \text{ and } u_1 < v_1) \\ (u_1, u_2) < (v_1, v_2) \text{ in } \pi_2 &\iff u_2 > v_2 \text{ or } (u_2 = v_2 \text{ and } u_1 < v_1) \\ (u_1, u_2) < (v_1, v_2) \text{ in } \pi_3 &\iff u_1 > v_1 \text{ or } (u_1 = v_1 \text{ and } u_2 < v_2). \end{aligned}$$

Since these are all lexicographic orderings (up to symmetry), they are linear orderings.

Consider distinct vertices u and v , with $u = (u_1, u_2)$ and $v = (v_1, v_2)$. If u and v differ in both coordinates, then $uv \in E(T)$ if and only if $u_1 > v_1$. Indeed, $\{u, v\}$ is $\{\pi_1, \pi_2\}$ -neutral; π_3 breaks the tie by putting the vertex with larger first coordinate first. If $u_2 = v_2$, then $uv \in E(T)$ if and only if $u_1 < v_1$. If $u_1 = v_1$, then $uv \in E(T)$ if and only if $u_2 < v_2$.

For $i, j \in [r]$, let $R_i = \{(u_1, u_2) \in X : u_2 = i\}$ and $C_j = \{(u_1, u_2) \in X : u_1 = j\}$. Let S be an acyclic subset of T . We prove $|S| \leq 2r - 1$ by mapping the vertices in S to represent distinct elements of $\{R_i : i \in [r] - \{1\}\} \cup \{C_j : j \in [r]\}$. For each column C_j that intersects S , let the lowest vertex in $S \cap C_j$ (smallest second coordinate) represent C_j . Every other vertex in S represents the row containing it. No vertex represents R_1 , because this vertex would be the lowest in its column and represent the column instead.

By construction, no two vertices represent the same column. If two vertices u and v represent the same row R_i , then $u = (u_1, i)$ and $v = (v_1, i)$; we may assume that $u_1 < v_1$. Since u represents R_i , some vertex w in S is in the same column as u but has a smaller second coordinate. That is, $w = (u_1, k)$ with $k < i$. Now uv, vw , and wu are edges in T , contradicting that S is an acyclic set. \square

Proposition 2.1 and Theorem 2.2 combine to give general bounds on $f_3(n)$.

Corollary 2.3. $\sqrt{n} \leq f_3(n) < 2\sqrt{n} + 1$.

Proof. The lower bound is Proposition 2.1. For the upper bound, let n' be the smallest perfect square that is at least n ; note that $\sqrt{n'} - \sqrt{n} < 1$. By the monotonicity of f and Theorem 2.2, $f_3(n) \leq f_3(n') \leq 2\sqrt{n'} - 1 < 2\sqrt{n} + 1$. \square

We now consider $k = 5$. Because adding a linear ordering and its reverse to Π does not change the majority digraph, every k -majority tournament is a $(k+2)$ -majority tournament, and hence $f_{k+2}(n) \leq f_k(n)$. This observation yields the best upper bound we currently have on $f_5(n)$, which is $f_5(n) \leq f_3(n) < 2\sqrt{n} + 1$. One would expect $f_5(n)$ to be strictly smaller than $f_3(n)$, and indeed our lower bound for $f_5(n)$ is smaller than that for $f_3(n)$.

Theorem 2.4. $f_5(n) \geq n^{1/4}$.

Proof. Let T be an n -vertex 5-majority tournament realized by $\{\pi_1, \dots, \pi_5\}$. Apply the Erdős–Szekeres Theorem to π_1 and π_2 to obtain a $\{\pi_1, \pi_2\}$ -consistent or a $\{\pi_1, \pi_2\}$ -neutral set S of size at least \sqrt{n} . Let $r = |S|$. If S is $\{\pi_1, \pi_2\}$ -neutral, then the subtournament on S is an r -vertex 3-majority tournament realized by $\{\pi_3, \pi_4, \pi_5\}$. By Proposition 2.1, S contains an acyclic set of size \sqrt{r} , and therefore $a(T) \geq n^{1/4}$.

Otherwise, S is $\{\pi_1, \pi_2\}$ -consistent. Let P be the poset that is the intersection of the orders π_3, π_4 , and π_5 , so $u <_P v$ if and only if all three orders list u before v . Let P' be the subposet of P on S . If P has no chain with more than t elements, then iteratively stripping off the antichain of maximal elements yields a partition of P into at most t chains. Hence P' contains a chain or an antichain of size at least \sqrt{r} . The elements of any chain of size at least \sqrt{r} in P' form a $\{\pi_3, \pi_4, \pi_5\}$ -consistent set, and this set is acyclic in T .

If there is no such chain, then P' has an antichain A of size at least \sqrt{r} . Any two elements in A appear in both orders among $\{\pi_3, \pi_4, \pi_5\}$. Therefore, A induces a transitive subtournament, ordered by the common restriction to A of π_1 and π_2 . Again $a(T) \geq n^{1/4}$. \square

3 General odd k

In this section we present bounds on $f_k(n)$ for general k . Our bounds are far apart when k is large, but they do show that $f_k(n)$ has polynomial growth (between powers of n) for all fixed k . The exponents on n in the upper and lower bounds tend to zero as k grows.

Given a family Π of linear orders on $[n]$, a set $S \subseteq [n]$ is Π -homogeneous if there is a linear order L on S and an integer h such that exactly h members of Π list u before v whenever $u <_L v$. Relative to L , we then say that h is the *signature* of S . When $|\Pi|$ is odd, a Π -homogeneous set is acyclic in the resulting $|\Pi|$ -majority tournament. Our argument for the lower bound finds a Π -homogeneous set inductively.

Theorem 3.1. *Let k be an odd integer. For any family Π of k linear orders on an n -set, there is a Π -homogeneous set of size at least n^{c_k} , where $c_k = 3^{-(k-1)/2}$; hence $f_k(n) \geq n^{c_k}$.*

Proof. We use induction on k ; the claim is trivial for $k = 1$. For $k \geq 3$, let $\Pi = \{\pi_1, \dots, \pi_k\}$. By the Erdős–Szekeres Theorem, there is a $\{\pi_{k-1}, \pi_k\}$ -consistent set of size at least $n^{2/3}$ or a $\{\pi_{k-1}, \pi_k\}$ -neutral set of size at least $n^{1/3}$. Call this set S , and let $\Pi' = \{\pi'_1, \dots, \pi'_k\}$, where π'_j is the restriction of π_j to S . The induction hypothesis yields within S a Π' -homogeneous set S' of size at least $|S|^{c_{k-2}}$.

If S is $\{\pi_{k-1}, \pi_k\}$ -neutral, then S' is not only Π' -homogeneous but also Π -homogeneous. We have $|S'| \geq n^{c_{k-2}/3}$, which suffices since $c_k = c_{k-2}/3$.

Hence we may assume that S is $\{\pi_{k-1}, \pi_k\}$ -consistent. We cannot conclude that S' is Π -homogeneous, because the ordering L_1 under which S' is Π' -homogeneous may differ from the common ordering L_2 of S' in π_{k-1} and π_k . Apply the Erdős–Szekeres Theorem to L_1 and L_2 to obtain an $\{L_1, L_2\}$ -consistent or $\{L_1, L_2\}$ -neutral set S'' of size at least $\sqrt{|S'|}$.

Let h be the signature of S' relative to L_1 . Whether S'' is $\{L_1, L_2\}$ -consistent or $\{L_1, L_2\}$ -neutral, S'' is Π -homogeneous relative to L_1 with signature $h + 2$ or $h - 2$, respectively. Furthermore, $|S''| \geq \sqrt{|S'|} \geq ((n^{2/3})^{c_k-2})^{1/2} \geq n^{c_k-2/3} = n^{c_k}$. \square

Our upper bound on $f_k(n)$ for general odd k uses induction on n . We begin with a $(k+1)$ -vertex tournament T_1 having no large acyclic set; it is a k -majority tournament. We then compose copies of T_1 to obtain larger k -majority tournaments with small acyclic sets.

For tournaments T and T' , the *composition* $T \circ T'$ is the tournament obtained by replacing each vertex u in T with a copy $T'(u)$ of T' and replacing each edge uv in T with an orientation of a complete bipartite graph with all edges directed from $T'(u)$ to $T'(v)$. Formally, if $V(T) = [r]$ and $V(T') = [r']$, then $V(T \circ T') = [r] \times [r']$, and $(x, x')(y, y')$ is an edge in $T \circ T'$ if and only if (1) $xy \in E(T)$ or (2) $x = y$ and $x'y' \in E(T')$.

Proposition 3.2. *If T and T' are k -majority tournaments, then $T \circ T'$ is a k -majority tournament.*

Proof. Let T and T' be k -majority tournaments on $[r]$ and $[r']$, respectively. Let T be realized by $\{\pi_1, \dots, \pi_k\}$ and T' be realized by $\{\sigma_1, \dots, \sigma_k\}$. We construct a realizer $\{\tau_1, \dots, \tau_k\}$ for $T \circ T'$ by letting τ_t be the linear ordering of $[r] \times [r']$ obtained by replacing the occurrence of $i \in [r]$ in π_t with $(i, \sigma_t(1)), (i, \sigma_t(2)), \dots, (i, \sigma_t(r'))$, where $\sigma_t(j)$ is the j th element of σ_t .

Consider an edge $(x, x')(y, y') \in E(T \circ T')$. If $x \neq y$, then $xy \in E(T)$, and hence more than half of π_1, \dots, π_k list x before y . The corresponding orders in $\{\tau_1, \dots, \tau_k\}$ list all elements with first coordinate x before all elements with first coordinate y . If $x = y$, then $x'y' \in E(T')$, and hence more than half of $\sigma_1, \dots, \sigma_k$ list x' before y' . The corresponding orders in $\{\tau_1, \dots, \tau_k\}$ list (x, x') before (y, y') . It follows that τ_1, \dots, τ_k realize $T \circ T'$. \square

Proposition 3.3. $a(T \circ T') = a(T)a(T')$.

Proof. If S is acyclic in T and S' is acyclic in T' , then $S \times S'$ is acyclic in $T \circ T'$, so $a(T \circ T') \geq a(T)a(T')$. Conversely, if \hat{S} is acyclic in $T \circ T'$, then let $S = \{u \in V(T) : (u, v) \in \hat{S} \text{ for some } v \in V(T')\}$. Note that S is acyclic in T , since a cycle induced by S lifts to a cycle induced by \hat{S} . Also, for $u \in V(T)$, at most $a(T')$ vertices with first coordinate u lie in \hat{S} . Thus $a(T \circ T') \leq |S|a(T') \leq a(T)a(T')$. \square

Proposition 3.4. *Let T_1 be an n -vertex tournament, and let $\alpha = a(T_1)$. If $T_j = T_{j-1} \circ T_1$ for $j > 1$, then $a(T_j) = |V(T_j)|^{\frac{\lg \alpha}{\lg n}}$.*

Proof. Note that $|V(T_j)| = n^j$. Since $\alpha^{j \lg n} = n^{j \lg \alpha}$, Proposition 3.3 yields $a(T_j) = \alpha^j = |V(T_j)|^{\frac{\lg \alpha}{\lg n}}$. \square

Proposition 3.4 provides a way of building larger k -majority tournaments from an initial k -majority tournament T_1 ; when $a(T_1)$ is small, also $a(T_j)$ is small. A randomized construction produces a tournament with a given number of vertices that has no large acyclic set, but such tournaments typically are not k -majority tournaments. Nevertheless, when the given number of vertices is at most $(k-1)/2$, every tournament is a k -majority tournament. Stronger results are known, but our result only needs the following simple proposition.

Proposition 3.5. *Every n -vertex tournament is a $(2n-1)$ -majority tournament.*

Proof. Let T be an orientation of K_n . It is well known that K_n is n -edge-colorable. Let M_1, \dots, M_n be a decomposition of K_n into matchings. We first construct a realizer Π of T with $|\Pi| = 2n$. Each matching contributes two linear orders to Π . Let $M_j = \{u_1 v_1, \dots, u_t v_t\}$ with $u_i v_i \in E(T)$, and let w_1, \dots, w_{n-2t} be the vertices not covered by M_j . The two orders generated by M_j are $(u_1, v_1, \dots, u_t, v_t, w_1, \dots, w_{n-2t})$ and $(w_{n-2t}, \dots, w_1, u_t, v_t, \dots, u_1, v_1)$.

All vertex pairs are neutral in the two orders except the edges of M_j itself. Each edge of T appears in one matching. Hence if $uv \in E(T)$, then u appears before v exactly $n+1$ times, so Π realizes T . Furthermore, deleting any one member of Π leaves u before v in at least n of the remaining $2n-1$ orders. \square

We now have the tools needed to prove our upper bound on $f_k(n)$ for general k .

Theorem 3.6. *For k fixed, $f_k(n) \leq n^{d_k(n)}$, where $d_k(n) \rightarrow \frac{1+\lg \lg k}{-1+\lg k}$ as $n \rightarrow \infty$.*

Proof. Let $k' = (k+1)/2$. By the result of Erdős and Moser [6], there is a k' -vertex tournament T_1 with $a(T_1) \leq 1 + 2 \lg k'$. Let $\alpha = a(T_1)$. By Proposition 3.5, T_1 is a k -majority tournament. Note also that $1 + 2 \lg k' \leq 2 \lg k$ for $k \geq 3$, so $\alpha \leq 2 \lg k$.

Let n be a positive integer, and let n' be the least power of k' that is at least as large as n . Note that $n' \leq nk'$. By Proposition 3.4, there is a k -majority tournament T on n' vertices with $a(T) = (n')^{\frac{\lg \alpha}{\lg k'}}$. Also $\lg k' > -1 + \lg k$. Hence

$$f_k(n) \leq f_k(n') \leq (n')^{\frac{\lg \alpha}{\lg k'}} \leq (nk')^{\frac{\lg \alpha}{\lg k'}} = n^{\frac{\lg \alpha}{\lg k'}} \left(1 + \frac{\lg k'}{\lg n}\right) < n^{\frac{1+\lg \lg k}{-1+\lg k}} \left(1 + \frac{\lg k}{\lg n}\right) = n^{d_k(n)},$$

as required. \square

Erdős and Moser [6] also proved that every n -vertex tournament is a k -majority tournament for $k = O(n/\log n)$; equivalently, there is a constant c such that every tournament on $ck \log k$ vertices is a k -majority tournament. Thus we could let T_1 be a tournament with $ck \log k$ vertices such that $a(T_1) \leq 3 \lg(ck \log k)$. This would produce a very slight improvement in our bound, increasing the denominator of the exponent by a lower order term.

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