

# A Guide to Discharging, with Applications to List Coloring

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slides available on DBW preprint page

Based on a survey written with Daniel W. Cranston

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**"An unavoidable set of reducible configurations"**

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**Def.**  $j$ -vertex,  $j^+$ -vertex,  $j^-$ -vertex; degree  $= j, \geq j, \leq j$ .

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**Degree charging:** give each vertex  $v$  initial charge  $d(v)$ .

## A Warmup

**Prop.**  $\text{avd}(G) < 3 \Rightarrow G$  has a  $1^-$ -vertex or has a  $2^-$ -vertex with a  $5^-$ -neighbor.

**Pf.** We may assume  $\delta(G) = 2$ . Use **degree charging**.

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In particular, planar with girth  $\geq 6 \Rightarrow \text{avd}(G) < 3$ .

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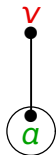
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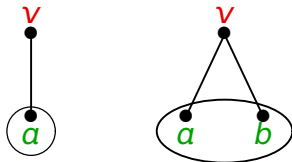


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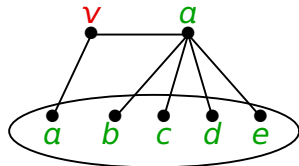
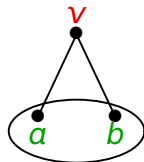
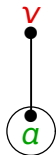
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- Smaller average degree (for  $\delta(G) = 2$ ) forces adjacent  $2^-$ -vertices. Still smaller forces strings of  $2^-$ -vertices.

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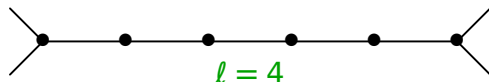
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**Def.** An  $\ell$ -**thread** in  $G$  is a trail of length  $\ell + 1$  whose  $\ell$  internal vertices have degree  $2$  in  $G$ .





## Discharging for Threads

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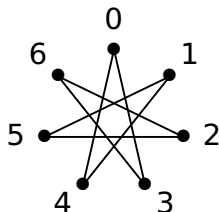
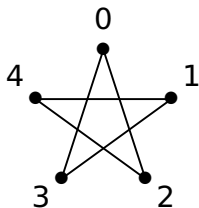
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Circular chrom #  $\chi_c(G) = \inf\{\frac{p}{q} : G \text{ is } (p, q)\text{-colorable}\}$ .

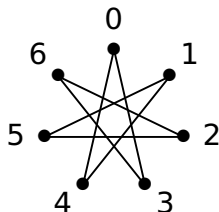
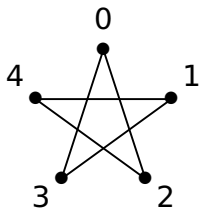
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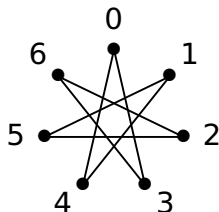
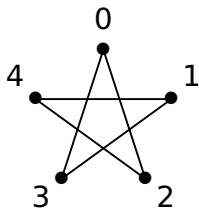


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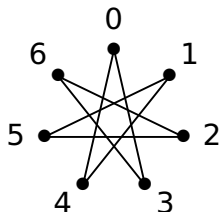
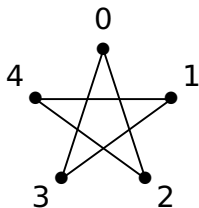


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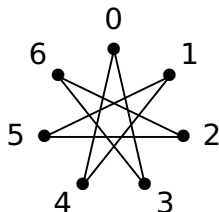
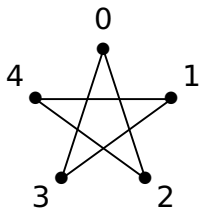
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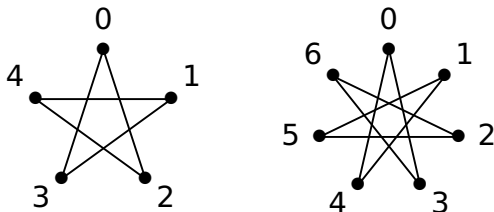
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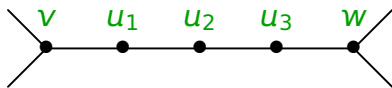
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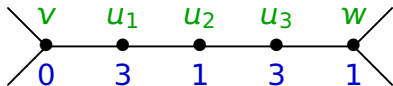
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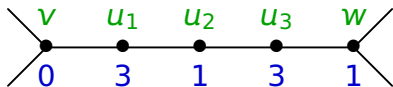
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This succeeds since any two vertices of  $C_{2t+1}$  are joined by a path of even length at most  $2t$ . To follow a path of length  $2s$  in  $2t$  steps, repeat last edge  $2t - 2s$  times. ■

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If  $d(v) \geq 4$  and  $v$  has no incident  $l$ -thread, then  $v$  loses at most  $(l - 1)\rho$  along each incident thread. The final charge is at least  $d(v)[1 - (l - 1)\rho]$ , which is at least  $2 + 2\rho$  for  $d(v) \geq 4$  when  $l = 2t - 1$ . ■

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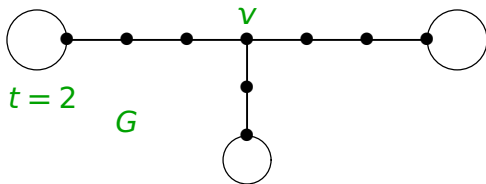
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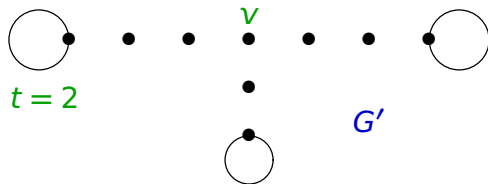
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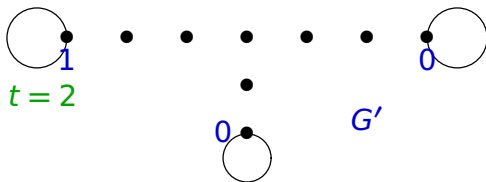


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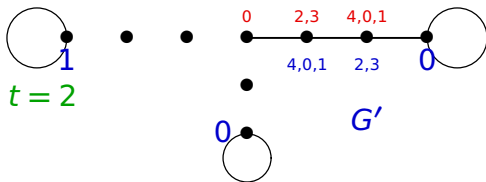


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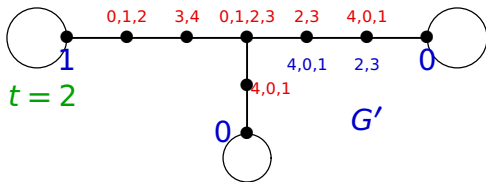


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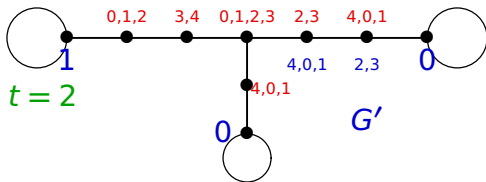
Along three threads with at least  $4t-3$  internal vertices, (#colors illegal at  $v$ )  $\leq \sum(2t-l_i-1) \leq 6t-3-(4t-3) \leq 2t$ .



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Hence there is a simultaneous extension to  $v$ . ■

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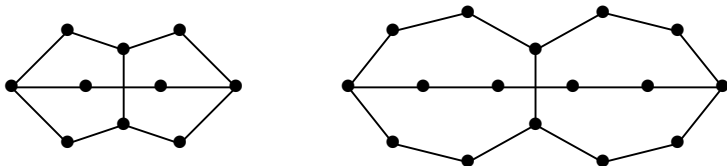
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**Sharpness example:** Two  $(2t + 1)$ -cycles sharing one edge, plus  $(2t - 2)$ -thread joining the opposite vertices.  
 $\text{Mad}(G_t) = 2 + \frac{2}{3t-1}$ , but  $\chi_c(G_t) = 2 + \frac{1}{t-1/2}$ .



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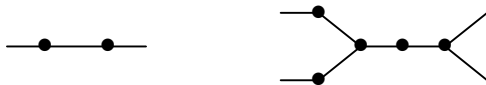
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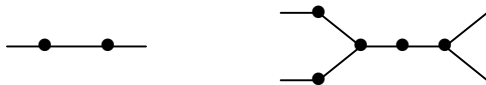
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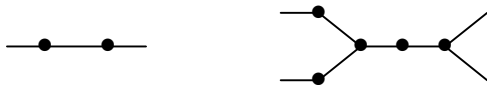


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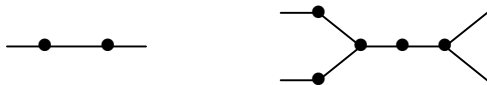
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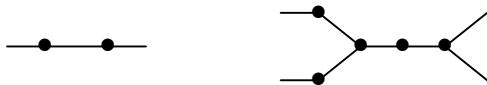
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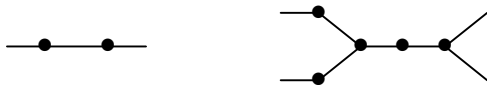
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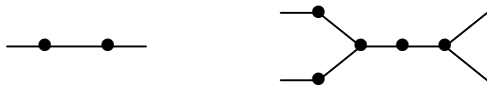
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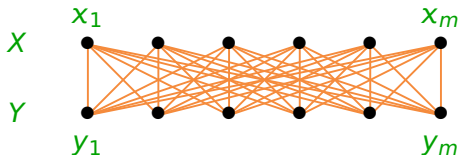
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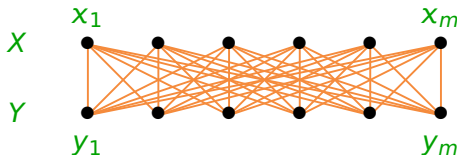
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What conditions yield  $\chi_\ell(G^2) = \Delta(G) + 1$ ?

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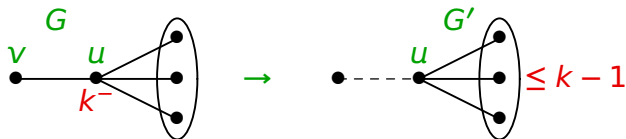
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**(B)**



Note that  $G'^2 = G^2 - \{u, v\}$ .

Extend  $L$ -coloring of  $G'^2$ :

- (1) pick color on  $u$  (avoid at most  $k$  on  $N(x) \cup \{x, y\}$ ).
- (2) pick color on  $v$  (avoid at most  $k$  on  $N(y) \cup \{x, y, u\}$ ).

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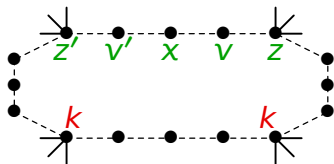
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Form  $G'$  by deleting the  $2$ -vertices;  $G'^2 = G^2$  - these.

$v$  must avoid colors on  $z$  and  $N(z)$ : at most  $k - 1$ .

Type- $v$  all have  $\geq 2$  colors left; even cycle  $2$ -choosable!

Type- $x$  verts must avoid  $\leq 4$  colors; okay since  $k \geq 4$ . ■

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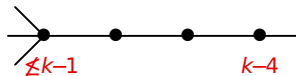
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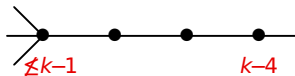
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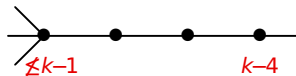
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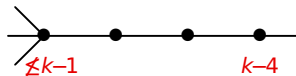
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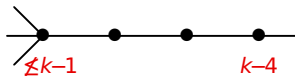
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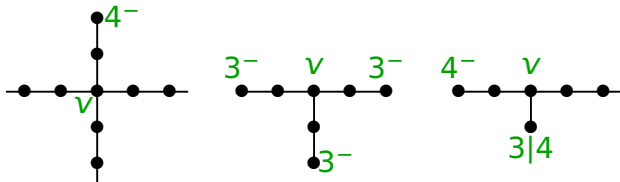
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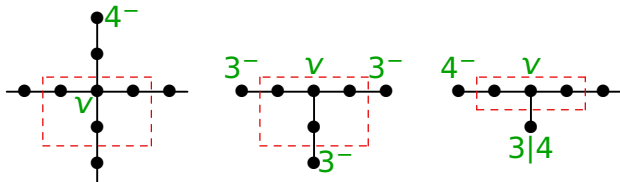
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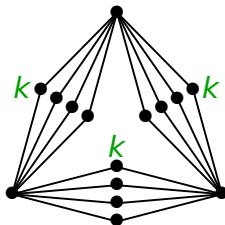


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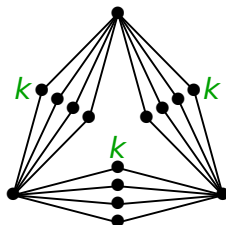


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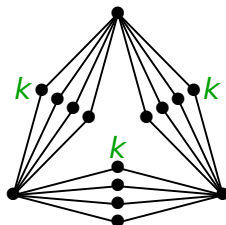
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**Ques.** Does  $\text{Mad}(G) < 4$  imply  $\chi_\ell(G^2) \leq \frac{3}{2}\Delta(G)$ ?

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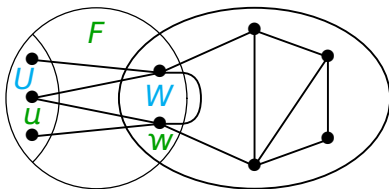
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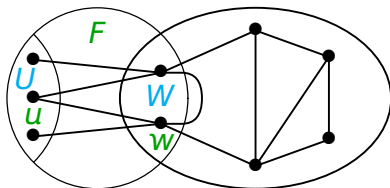
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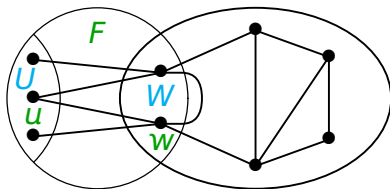
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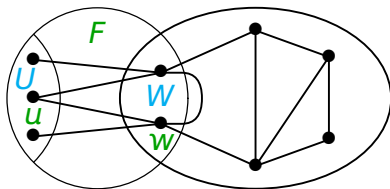


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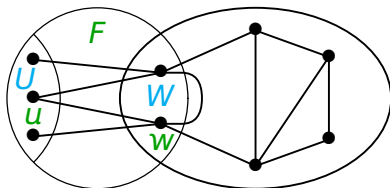
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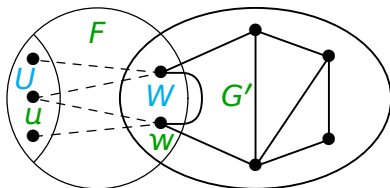
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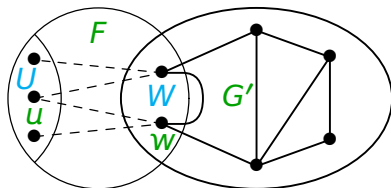
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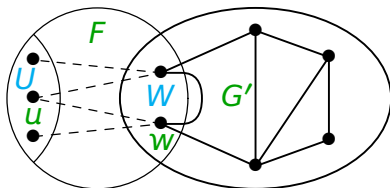


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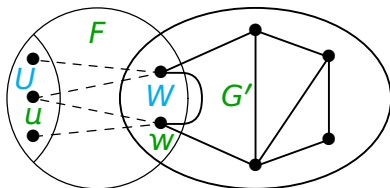
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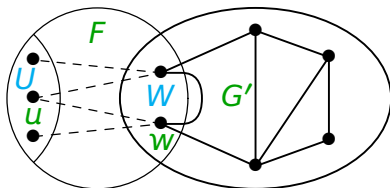
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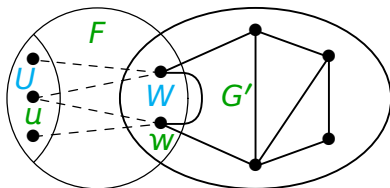
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Now BKW colors  $E(F)$  from what remains of the lists. ■

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Move charge  $1$  from  $w$  to each  $u \in N_F(w)$ .

Since  $d_F(w) < i$ , vertex  $w$  loses at most  $i - 1$ .

# Iterated Discharging

**Thm.** (BKW [1997], Woodall [2010]) If  $\text{Mad}(G) < \sqrt{2\Delta(G)} - 1$ , then  $\chi'_l(G) = \Delta(G)$ .

**Pf.** Let  $p = \lfloor \sqrt{2\Delta(G)} - 2 + .5 \rfloor$  (at least  $\sqrt{2\Delta(G)} - 1$ ).

Use **degree charging**. Raise all charges to  $p$  if  $G$  has no  $i$ -alternating subgraph or edge with weight  $\leq \Delta(G) + 1$ .

Much charge to move. Run phase  $i$ , for  $2 \leq i < p$ .

**Phase  $i$ :** let  $U = \{v : d_G(v) \leq i\}$  and  $W = \bigcup_{u \in U} N_G(u)$ .

Since  $G$  has no light edge,  $U$  is independent. Let  $F$  be the subgraph formed by all the edges incident to  $U$ .

Since  $G$  has no  $i$ -alternating subgraph,

$d_F(w) < d_G(w) - \Delta(G) + i$  for some  $w \in W$ .

Move charge  $1$  from  $w$  to each  $u \in N_F(w)$ .

Since  $d_F(w) < i$ , vertex  $w$  loses at most  $i - 1$ .

Now delete  $\{w\} \cup (N(w) \cap U)$  from  $F$ . What remains is not  $i$ -alternating. Iterate til each  $u \in U$  gains charge  $1$ .

continued...

For  $d(v) < p$ , during Phases  $d(v), \dots, p - 1$  the charge of  $v$  rises once per phase to finally reach  $p$ .



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Allowing  $\text{Mad}(G) > \sqrt{2\Delta(G)}$  in the list version is harder!

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**Prop.** In a plane graph  $G$ , with  $d(F)$  denoting the length of a face  $F$ ,

$$\sum_v (d(v) - 6) + \sum_F (2d(F) - 6) = -12 \quad \text{vertex charging}$$

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**Pf.** Multiply Euler's Formula by  $-6$  or  $-4$  and split the contribution from the number of edges:

$$-6n + 2m + 4m - 6f = -12; \quad -4n + 2m + 2m - 4f = -8.$$

Substitute the degree-sum in  $G$  or  $G^*$  for  $2m$ . ■

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Results for planar graphs with girth  $g$  may extend to

$\text{Mad}(G) < \frac{2g}{g-2}$  (when faces are not used), but general graphs may need tighter  $\text{Mad}(G)$ .

## A Balanced Warmup

**Recall:** For  $\delta(G) = 2$ , forcing a 2-vertex with a  $j^-$ -nbr.  
When  $\text{Mad}(G) < 2 + 2\rho$ , each 2-vert takes  $\rho$  from each  
nbr. If no 2-vert has  $j^-$ -nbr, then all end happy if  
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**Pf.** Use **balanced charging**.

(R1) Each 2-vertex takes 1 from each incident face.

(R2) Each 3-vertex takes  $1/3$  from each incident face.

Vertices end happy! If nbrs of 2-verts are  $4^+$ -verts, then a  $j$ -face loses  $\leq j/2$  when  $j \geq 8$ , and  $\leq 3$  when  $j = 7$ . ■

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**Appl:** Every planar graph decomposes into three forests, one having maximum degree at most 8.  
(Balogh–Kochol–Pluhár–Yu [2005])

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Thus the bipartite subgraph  $H$  of edges incident to 3-vertices is acyclic, with  $n_3 + n_k$  vertices and fewer edges. It also has  $3n_3$  edges, so  $3n_3 < n_3 + n_k$ .

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We proved  $n_k > 2n_3$ , so the pot stays positive.

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We proved  $n_k > 2n_3$ , so the pot stays positive.

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## Using the Pot

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For faces, length  $\geq 4$  loses no charge and stays happy. For a 3-face  $T$ , let  $j$  be the least incident vertex degree. Since no edges are light and  $k \geq 9$ , the other two are  $(12 - j)^+$ -vertices. In each case,  $T$  gains at least 1. ■



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Forbidding various sets of three cycle lengths suffices (many papers).

Forbidding  $j$ -faces for  $4 \leq j \leq 7$  suffices (Borodin–Glebov–Raspaud–Salavatipour [2005]).

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We prove the last to illustrate face charging, yielding an easier proof than the original balanced charging.

# An Application of Face Charging

**Lem.** (Borodin [1996]) Every plane graph  $G$  with  $\delta(G) \geq 3$  has two adjacent 3-faces, or a  $j$ -face with  $4 \leq j \leq 9$ , or a 10-face with ten 3-vertices.

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**Pf.** Use face charging:  $2d(v) - 6$  for each vertex  $v$  and  $d(F) - 6$  for each face  $F$ . Only 3-faces are negative.

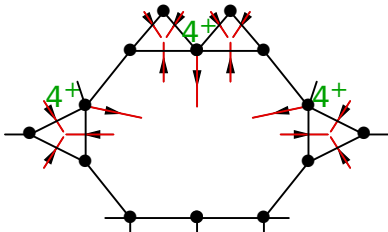
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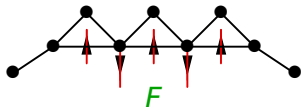
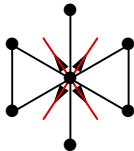
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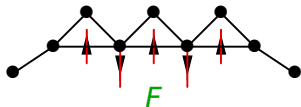
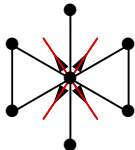
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A  $j$ -face  $F$  with  $j \geq 10$  loses 1 for each bounding path where the faces neighboring  $F$  are triangles and the endpoints have degree 3. Hence  $F$  loses  $\leq \lfloor j/2 \rfloor$ . Only a 10-face with all  $3^+$ -verts can become negative. ■

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Since even cycles are 2-choosable, the coloring can be extended to  $G$ . ■

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Every forest is star 3-colorable: In each tree  $T$ , choose a root  $r$  and color each vertex  $v$  with  $d_T(v, r) \bmod 3$ .



## $I, F$ -partition

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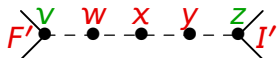
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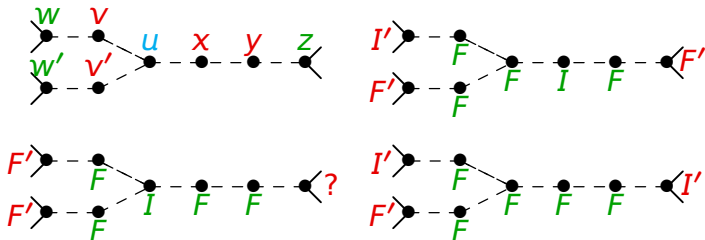
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We only need  $(2, 1, 1)$ . Let  $I', F'$  be an  $I, F$ -partition of  $G'$ .

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If  $n > k^2 + k$ , then  $k$ -coloring  $G$  gives  $k+2$  high-degree vertices  $T$  the same color. Color each pair in  $T$  by the color of their common neighbor. If  $\{u, v\}$  and  $\{v, w\}$  receive the same color, then  $G$  has a 2-colored  $P_5$ .

Thus  $\chi_s(G) \leq k$  requires  $\chi'(K_{k+2}) \leq k$ , but  $\chi'(K_{k+2}) > k$ .

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After finding reducible configurations for the property  
" $\tau(G) \leq 2\nu(G)$ ", the discharging is easy.

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Let  $r = \#\text{good vertices}$ . Since  $(a, b, c) = (2, \frac{10}{3}, \frac{24}{7})$ ,

$$r > \frac{c-b}{c-a}n = \frac{72-70}{72-42}n = \frac{n}{15}.$$



# The Firefighter Problem

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By the Lemma, they save at least  $n - 2$  vertices with probability  $> \frac{1}{15}$  and otherwise save at least  $2$ . ■