

# Solutions to Problems

## Chapter 1

1. The primary ideals are  $(0)$  and  $(p^n)$ ,  $p$  prime.
2.  $R/Q \cong k[y]/(y^2)$ , and zero-divisors in this ring are of the form  $cy + (y^2)$ ,  $c \in k$ , so they are nilpotent. Thus  $Q$  is primary. Since  $\sqrt{Q} = P = (x, y)$ ,  $Q$  is  $P$ -primary.
3. If  $Q = P_0^n$  with  $P_0$  prime, then  $\sqrt{Q} = P_0$ , so by Problem 2,  $P_0 = (x, y)$ . But  $x \in Q$  and  $x \notin P_0^n$  for  $n \geq 2$ , so  $Q \neq P_0^n$  for  $n \geq 2$ . Since  $y \in P_0$  but  $y \notin Q$ , we have  $Q \neq P_0$  and we reach a contradiction.
4.  $\bar{P}$  is prime since  $R/\bar{P} \cong k[y]$ , an integral domain. Thus  $\bar{P}^2$  is a prime power and its radical is the prime ideal  $\bar{P}$ . But it is not primary, because  $\bar{x}\bar{y} = \bar{z}^2 \in \bar{P}^2$ ,  $\bar{x} \notin \bar{P}^2$ ,  $\bar{y} \notin \bar{P}$ .
5. We have  $I \subseteq P_1 \cap P_2^2$  and  $I \subseteq P_1 \cap Q$  by definition of the ideals involved. For the reverse inclusions, note that if  $f(x, y)x = g(x, y)y^2$  (or  $f(x, y)x = g(x, y)y$ ), then  $g(x, y)$  must involve  $x$  and  $f(x, y)$  must involve  $y$ , so  $f(x, y)$  is a polynomial multiple of  $xy$ .

Now  $P_1$  is prime (because  $R/P_1 \cong k[y]$ , a domain), hence  $P_1$  is  $P_1$ -primary.  $P_2$  is maximal and  $\sqrt{P_2^2} = \sqrt{Q} = P_2$ . Thus  $P_2^2$  and  $Q$  are  $P_2$ -primary. [See (1.1.1) and (1.1.2). Note also that the results are consistent with the first uniqueness theorem.]

6. Let  $\mathcal{M}$  be the maximal ideal of  $R$ , and  $k = R/\mathcal{M}$  the residue field. Let  $M_k = k \otimes_R M = (R/\mathcal{M}) \otimes_R M \cong M/\mathcal{M}M$ . Assume  $M \otimes_R N = 0$ . Then  $M_k \otimes_k N_k = (k \otimes_R M) \otimes_k (k \otimes_R N) = [(k \otimes_R M) \otimes_k k] \otimes_R N = (k \otimes_R M) \otimes_R N = k \otimes_R (M \otimes_R N) = 0$ . Since  $M_k$  and  $N_k$  are finite-dimensional vector spaces over a field, one of them must be 0. [ $k^r \otimes_k k^s = (k \otimes_k k^s)^r$  because tensor product commutes with direct sum, and this equals  $(k^s)^r = k^{rs}$ .] If  $M_k = 0$ , then  $M = \mathcal{M}M$ , so by NAK,  $M = 0$ . Similarly,  $N_k = 0$  implies  $N = 0$ .
7. We have  $\mathbb{Z}/n\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/m\mathbb{Z} \cong \mathbb{Z}/(n, m)\mathbb{Z}$ , which is 0 if  $n$  and  $m$  are relatively prime.
8.  $(M \otimes_R N)_S \cong R_S \otimes_R (M \otimes_R N) \cong (R_S \otimes_R M) \otimes_R N \cong M_S \otimes_R N \cong (M_S \otimes_{R_S} R_S) \otimes_R N \cong M_S \otimes_{R_S} (R_S \otimes_R N) \cong M_S \otimes_{R_S} N_S$ .
9. By Problem 8,  $(M \otimes_R N)_P \cong M_P \otimes_{R_P} N_P$  as  $R_P$ -modules. Thus  $P \notin \text{Supp}(M \otimes_R N)$  iff  $M_P \otimes_{R_P} N_P = 0$ . By Problem 6, this happens iff  $M_P = 0$  or  $N_P = 0$ , that is,  $P \notin \text{Supp} M$  or  $P \notin \text{Supp} N$ .

10. The first assertion follows from (1.6.4) and (1.6.6). Since the preimage of a prime ideal under a ring homomorphism is prime, the second assertion follows from (1.6.4).
11. Say  $P_i^n = 0$ . Then  $x \in \mathcal{M}_i$  iff  $\pi_i(x) \in P_i$  iff  $\pi_i(x^n) = 0$  iff  $x^n \in I_i$ , and the result follows.
12. Since  $I_i$  consists of those elements that are 0 in the  $i^{\text{th}}$  coordinate, the zero ideal is the intersection of the  $I_i$ , and  $I_i \not\supseteq \bigcap_{j \neq i} I_j$ . By Problem 11, the decomposition is primary. Now  $I_i \subseteq \sqrt{I_i} = \mathcal{M}_i$ , and  $I_i + I_j = R$  for  $i \neq j$ . Thus  $\mathcal{M}_i + \mathcal{M}_j = R$ , so the  $\mathcal{M}_i$  are distinct and the decomposition is reduced.
13. By Problem 12, the  $\mathcal{M}_i$  are distinct and hence minimal. By the second uniqueness theorem (1.4.5), the  $I_i$  are unique (for a given  $R$ ). Since  $R_i \cong R/I_i$ , the  $R_i$  are unique up to isomorphism.
14. By (1.6.9), the length  $l_{R_P}(M_P)$  will be finite iff every element of  $\text{AP}_{R_P}(M_P)$  is maximal. Now  $R_P$  is a local ring with maximal ideal  $PR_P$ . By the bijection of (1.4.2),  $l_{R_P}(M_P) < \infty$  iff there is no  $Q \in \text{AP}(M)$  such that  $Q \subset P$ . By hypothesis,  $P \in \text{Supp } M$ , so by (1.5.8),  $P$  contains some  $P' \in \text{AP}(M)$ , and under the assumption that  $l_{R_P}(M_P)$  is finite,  $P$  must coincide with  $P'$ . The result follows.

## Chapter 2

1. Let  $Q_1 = (2 + i)$ ,  $Q_2 = (2 - i)$ . An integer divisible by  $2 + i$  must also be divisible by the complex conjugate  $2 - i$ , hence divisible by  $(2 + i)(2 - i) = 5$ . Thus  $Q_1 \cap \mathbb{Z} = (5)$ , and similarly  $Q_2 \cap \mathbb{Z} = (5)$ .
2. We have  $x^2 = y^3$ , hence  $(x/y)^2 = y$ . Thus  $\alpha^2 - y = 0$ , so  $\alpha$  is integral over  $R$ . If  $\alpha \in R$ , then  $\alpha = x/y = f(x, y)$  for some polynomial  $f$  in two variables with coefficients in  $k$ . Thus  $x = yf(x, y)$ . Written out longhand, this is  $X + I = Yf(X, Y) + I$ , and consequently  $X - Yf(X, Y) \in I = (X^2, Y^3)$ . This is impossible because there is no way that a linear combination  $g(X, Y)X^2 + h(X, Y)Y^3$  can produce  $X$ .
3. Since the localization functor is exact, we have (a) implies (b), and (b) implies (c) is immediate. To prove that (c) implies (a), consider the exact sequence

$$0 \longrightarrow \text{im } f \xrightarrow{i} \ker g \xrightarrow{\pi} \ker g / \text{im } f \longrightarrow 0$$

Applying the localization functor, we get the exact sequence

$$0 \longrightarrow (\text{im } f)_P \xrightarrow{i_P} (\ker g)_P \xrightarrow{\pi_P} (\ker g / \text{im } f)_P \longrightarrow 0$$

for every maximal (indeed for every prime) ideal  $P$ . But by basic properties of localization,

$$(\ker g / \text{im } f)_P = (\ker g)_P / (\text{im } f)_P = \ker g_P / \text{im } f_P,$$

which is 0 for every maximal ideal  $P$ , by (c). By (1.5.1),  $\ker g / \text{im } f = 0$ , in other words,  $\ker g = \text{im } f$ , proving (a).

4. In the injective case, apply Problem 3 to the sequence

$$0 \longrightarrow M \xrightarrow{f} N,$$

and in the surjective case, apply Problem 3 to the sequence

$$M \xrightarrow{f} N \longrightarrow 0.$$

5. This follows because  $S^{-1}(\cap A_i) \subseteq \cap_i S^{-1}(A_i)$  for arbitrary rings (or modules)  $A_i$ .
6. Taking  $S = R \setminus Q$  and applying Problem 5, we have the following chain of inclusions, where  $P$  ranges over all maximal ideals of  $R$ :

$$M_Q = (\cap_P R_P)_Q \subseteq \cap_P (R_P)_Q \subseteq (R_Q)_Q = R_Q.$$

7. Since  $R$  is contained in every  $R_P$ , we have  $R \subseteq M$ , hence  $R_Q \subseteq M_Q$  for every maximal ideal  $Q$ . Let  $i : R \rightarrow M$  and  $i_Q : R_Q \rightarrow M_Q$  be inclusion maps. By Problem 6,  $R_Q = M_Q$ , in particular,  $i_Q$  is surjective. Since  $Q$  is an arbitrary maximal ideal,  $i$  is surjective by Problem 4, so  $R = M$ . But  $R \subseteq \cap_{P \text{ prime}} R_P \subseteq M$ , and the result follows.
8. The implication (a) implies (b) follows from (2.2.6), and (b) immediately implies (c). To prove that (c) implies (a), note that if for every  $i$ ,  $K$  is the fraction field of  $A_i$ , where the  $A_i$  are domains that are integrally closed in  $K$ , then  $\cap_i A_i$  is integrally closed. It follows from Problem 7 that  $R$  is the intersection of the  $R_Q$ , each of which is integrally closed (in the same fraction field  $K$ ). Thus  $R$  is integrally closed.
9. The elements of the first field are  $a/f + PR_P$  and the elements of the second field are  $(a + P)/(f + P)$ , where in both cases,  $a, f \in R, f \notin P$ . This tells you exactly how to construct the desired isomorphism.

## Chapter 3

1. Assume that  $(V, \mathcal{M}_V) \leq (R, \mathcal{M}_R)$ , and let  $\alpha$  be a nonzero element of  $R$ . Then either  $\alpha$  or  $\alpha^{-1}$  belongs to  $V$ . If  $\alpha \in V$  we are finished, so assume  $\alpha \notin V$ , hence  $\alpha^{-1} \in V \subseteq R$ . Just as in the proof of Property 9 of Section 3.2,  $\alpha^{-1}$  is not a unit of  $V$ . (If  $b \in V$  and  $b\alpha^{-1} = 1$ , then  $\alpha = \alpha\alpha^{-1}b = b \in V$ .) Thus  $\alpha^{-1} \in \mathcal{M}_V = \mathcal{M}_R \cap V$ , so  $\alpha^{-1}$  is not a unit of  $R$ . This is a contradiction, as  $\alpha$  and its inverse both belong to  $R$ .
2. By definition of  $h$ ,  $\ker h = \mathcal{M}_V$ . Since  $h_1$  extends  $h$ ,  $\ker h = (\ker h_1) \cap V$ , that is,  $\mathcal{M}_V = \mathcal{M}_{R_1} \cap V$ . Since  $R_1 \supseteq V$ , the result follows.
3. By hypothesis,  $(V, \mathcal{M}_V)$  is maximal with respect to domination, so  $(V, \mathcal{M}_V) = (R_1, \mathcal{M}_{R_1})$ . Therefore  $V = R_1$ , and the proof is complete.
4. If  $(R, \mathcal{M}_R)$  is not dominated in this way, then it is a maximal element in the domination ordering, hence  $R$  itself is a valuation ring.

## Chapter 4

1. We have  $f \in I^d$  iff all terms of  $f$  have degree at least  $d$ , so if we identify terms of degree at least  $d + 1$  with 0, we get an isomorphism between  $I^d/I^{d+1}$  and the homogeneous polynomials of degree  $d$ . Take the direct sum over all  $d \geq 0$  to get the desired result.
2. If  $x \in M_n$  and  $f(x) \in N_{n+1}$ , then  $f(x) + N_{n+1} = 0$ , so  $x \in M_{n+1}$ .

3. The result holds for  $n = 0$  because  $M_0 = M$  and  $N_0 = N$ . If it is true for  $n$ , let  $x \in f^{-1}(N_{n+1})$ . Since  $N_{n+1} \subseteq N_n$ , it follows that  $x$  belongs to  $f^{-1}(N_n)$ , which is contained in  $M_n$  by the induction hypothesis. By Problem 2, the result is true for  $n + 1$ .
4. Using the additional hypothesis and Problem 3, we have  $f^{-1}(0) \subseteq f^{-1}(\cap N_n) = \cap f^{-1}(N_n) \subseteq \cap M_n = 0$ .
5. By (4.1.8) we have

$$(I^{m+k}M) \cap N = I^k((I^m M) \cap N) \subseteq I^k N \subseteq (I^k M) \cap N.$$

6. Since  $g_n \circ f_n = 0$  for all  $n$ , we have  $g \circ f = 0$ . If  $g(y) = 0$ , then  $y$  is represented by a sequence  $\{y_n\}$  with  $y_n \in M_n$  and  $g_n(y_n) = 0$  for sufficiently large  $n$ . Thus for some  $x_n \in M'_n$  we have  $y_n = f_n(x_n)$ . The elements  $x_n$  determine  $x \in M'$  such that  $y = f(x)$ , proving exactness.
7. Since  $\hat{R} \otimes_R R \cong \hat{R}$  and tensor product commutes with direct sum,  $h_M$  is an isomorphism when  $M$  is free of finite rank. In general, we have an exact sequence

$$0 \longrightarrow N \xrightarrow{f} F \xrightarrow{g} M \longrightarrow 0$$

with  $F$  free of finite rank. Thus the following diagram is commutative, with exact rows.

$$\begin{array}{ccccccc} \hat{R} \otimes_R N & \longrightarrow & \hat{R} \otimes_R F & \longrightarrow & \hat{R} \otimes_R M & \longrightarrow & 0 \\ \downarrow h_N & & \downarrow h_F & & \downarrow h_M & & \\ 0 & \longrightarrow & \hat{N} & \xrightarrow{\hat{f}} & \hat{F} & \xrightarrow{\hat{g}} & \hat{M} \longrightarrow 0 \end{array}$$

See (4.2.7) for the last row. Since  $\hat{g}$  is surjective and  $h_F$  is an isomorphism, it follows that  $h_M$  is surjective.

8. By hypothesis,  $N$  is finitely generated, so by Problem 7,  $h_N$  is surjective. Since  $h_F$  is an isomorphism,  $h_M$  is injective by the four lemma. (See TBGY, 4.7.2, part (ii).)
9. Take inverse limits in (4.2.9).
10. Consider the diagram for Problem 7, with  $M$  finitely generated. No generality is lost; see TBGY, (10.8.1). Then all vertical maps are isomorphisms, so if we augment the first row by attaching  $0 \rightarrow$  on the left, the first row remains exact. Thus the functor  $\hat{R} \otimes_R -$  is exact, proving that  $\hat{R}$  is flat.
11. Since  $M$  is isomorphic to its completion, we may regard  $\hat{M}$  as the set of constant sequences in  $M$ . If  $x$  belongs to  $M_n$  for every  $n$ , then  $x$  converges to 0, hence  $x$  and 0 are identified in  $\hat{M}$ . By (4.2.4), the topology is Hausdorff.
12.  $I$  is finitely generated, so by Problem 8,  $h_I : \hat{R} \otimes_R I \rightarrow \hat{I}$  is an isomorphism. Since  $\hat{R}$  is flat over  $R$  by Problem 10,  $\hat{R} \otimes_R I \rightarrow \hat{R} \otimes_R R \cong \hat{R}$  is injective, and the image of this map is  $\hat{R}I$ .
13. By Problem 12,  $(I^n)^\wedge \cong \hat{R}I^n = (\hat{R}I)^n \cong (\hat{I})^n$ .
14. The following diagram is commutative, with exact rows.

$$\begin{array}{ccccccc}
0 & \longrightarrow & I^n/I^{n+1} & \longrightarrow & R/I^{n+1} & \longrightarrow & R/I^n \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & (\hat{I})^n/(\hat{I})^{n+1} & \longrightarrow & \hat{R}/(\hat{I})^{n+1} & \longrightarrow & \hat{R}/(\hat{I})^n \longrightarrow 0
\end{array}$$

The second and third vertical maps are isomorphisms by (4.2.9), so the first vertical map is an isomorphism by the short five lemma.

15. By (4.2.9) and Problem 9,  $\hat{R}$  is complete with respect to the  $\hat{I}$ -adic topology. Suppose that  $a \in \hat{I}$ . Since  $a^n + a^{n+1} + \cdots + a^m \in (\hat{I})^n$  for all  $n$ , the series  $1 + a + a^2 + \cdots + a^n$  converges to some  $b \in \hat{R}$ . Now  $(1 - a)(1 + a + a^2 + \cdots + a^n) = 1 - a^{n+1}$ , and we can let  $n$  approach infinity to get  $(1 - a)b = 1$ . Thus  $a \in \hat{I} \Rightarrow 1 - a$  is a unit in  $\hat{R}$ . Since  $ax$  belongs to  $\hat{I}$  for every  $x \in \hat{R}$ ,  $1 + ax$  is also a unit. By (0.2.1),  $a \in J(\hat{R})$ .
16. By (4.2.9),  $R/\mathcal{M} \cong \hat{R}/\hat{\mathcal{M}}$ , so  $\hat{R}/\hat{\mathcal{M}}$  is a field, hence  $\hat{\mathcal{M}}$  is a maximal ideal. By Problem 15,  $\hat{\mathcal{M}}$  is contained in every maximal ideal, and it follows that  $\hat{\mathcal{M}}$  is the unique maximal ideal of  $\hat{R}$ .

## Chapter 5

1. The function  $2^n$  is its own difference.
2. If  $P$  is a prime ideal containing  $\text{ann}(M/\mathcal{M}M)$ , then  $P \supseteq \mathcal{M}$ , hence  $P = \mathcal{M}$  by maximality of  $\mathcal{M}$ . Conversely, we must show that  $\mathcal{M} \supseteq \text{ann}(M/\mathcal{M}M)$ . This will be true unless  $\text{ann}(M/\mathcal{M}M) = R$ . In this case, 1 annihilates  $M/\mathcal{M}M$ , so  $\mathcal{M}M = M$ . By NAK,  $M = 0$ , contradicting the hypothesis.
3. Let  $S = R \setminus P$ . Then  $(R/I)_P = 0$  iff  $S^{-1}(R/I) = 0$  iff  $S^{-1}R = S^{-1}I$  iff  $1 \in S^{-1}I$  iff  $1 = a/s$  for some  $a \in I$  and  $s \in S$  iff  $I \cap S \neq \emptyset$  iff  $I$  is not a subset of  $P$ .
4. By Going Up [see (2.2.3)], any chain of distinct prime ideals of  $R$  can be lifted to a chain of distinct prime ideals of  $S$ , so  $\dim S \geq \dim R$ . A chain of distinct prime ideals of  $S$  contracts to a chain of prime ideals of  $R$ , distinct by (2.2.1). Thus  $\dim R \geq \dim S$ .
5. Since  $S/J$  is integral over the subring  $R/I$ , it follows from (5.3.1) and Problem 4 that  $\text{coht } I = \dim R/I = \dim S/J = \text{coht } J$ .
6. If  $J$  is a prime ideal of  $S$ , then  $I = J \cap R$  is a prime ideal of  $R$ . The contraction of a chain of prime ideals of  $S$  contained in  $J$  is a chain of prime ideals of  $R$  contained in  $I$ , and distinctness is preserved by (2.2.1). Thus  $\text{ht } J \leq \text{ht } I$ . Now let  $J$  be any ideal of  $S$ , and let  $P$  be a prime ideal of  $R$  such that  $P \supseteq I$  and  $\text{ht } P = \text{ht } I$ . (If the height of  $I$  is infinite, there is nothing to prove.) As in the previous problem,  $S/J$  is integral over  $R/I$ , so by Lying Over [see (2.2.2)] there is a prime ideal  $Q$  containing  $J$  that lies over  $P$ . Thus with the aid of the above proof for  $J$  prime, we have  $\text{ht } J \leq \text{ht } Q \leq \text{ht } P = \text{ht } I$ .
7. First assume  $J$  is a prime ideal of  $S$ , hence  $I$  is a prime ideal of  $R$ . A descending chain of distinct prime ideals of  $R$  starting from  $I$  can be lifted to a descending chain of distinct prime ideals of  $S$  starting from  $J$ , by Going Down [see (2.3.4)]. Thus  $\text{ht } J \geq \text{ht } I$ . For any ideal  $J$ , let  $Q$  be a prime ideal of  $S$  with  $Q \supseteq J$ . Then  $P = Q \cap R \supseteq I$ .

By what we have just proved,  $\text{ht } Q \geq \text{ht } P$ , and  $\text{ht } P \geq \text{ht } I$  by definition of height. Taking the infimum over  $Q$ , we have  $\text{ht } J \geq \text{ht } I$ . By Problem 6,  $\text{ht } J = \text{ht } I$ .

8. The chain of prime ideals  $(\overline{X}) \subset (\overline{X}, \overline{Y}) \subset (\overline{X}, \overline{Y}, \overline{Z})$  gives  $\dim R \geq 2$ . Since  $XY$  (or equally well  $XZ$ ), belongs to the maximal ideal  $(X, Y, Z)$  and is not a zero-divisor, we have  $\dim R \leq \dim S/(XY) = \dim S - 1 = 2$  by (5.4.7) and (5.4.9).
9. The height of  $P$  is 0 because the ideals  $(\overline{Y})$  and  $(\overline{Z})$  are not prime. For example,  $\overline{X} \notin (\overline{Y})$  and  $\overline{Z} \notin (\overline{Y})$ , but  $\overline{X} \overline{Z} = \overline{0} \in (\overline{Y})$ . Since  $R/P \cong k[[X]]$  has dimension 1,  $P$  has coheight 1 by (5.3.1).

## Chapter 6

1. By (6.1.3),  $\dim R/P = \dim R - t = \dim R - \text{ht } P$ . By (5.3.1),  $\dim R/P = \text{coht } P$ , and the result follows.
2. Let  $J$  be the ideal  $(\overline{Z}, \overline{X} + \overline{Y})$ . If  $\mathcal{M} = (X, Y, Z)$  is the unique maximal ideal of  $S$ , then  $\overline{\mathcal{M}}^2 = (\overline{X}^2, \overline{Y}^2, \overline{Z}^2, \overline{Y} \overline{Z}) \subseteq J \subseteq \mathcal{M}$ , so  $J$  is an ideal of definition. (Note that  $\overline{X} \overline{Y} = \overline{X} \overline{Z} = 0$ ,  $\overline{X}(\overline{X} + \overline{Y}) = \overline{X}^2$ , and  $\overline{Y}(\overline{X} + \overline{Y}) = \overline{Y}^2$ .) By (6.1.2),  $\{\overline{Z}, \overline{X} + \overline{Y}\}$  is a system of parameters. Since  $\overline{Z} \overline{X} = 0$ ,  $\overline{Z}$  is a zero-divisor.

## Chapter 7

1. Note that  $\ker f, \text{im } f$ , and  $\ker g$  are all equal to  $\{0, 2\}$ .
2. We have  $\text{im } \partial_n = \ker f_{n-1} = 0$  and  $\ker g_n = \text{im } f_n = B_n$ . Thus  $g_n$  is the zero map, so  $\ker \partial_n = \text{im } g_n = 0$ . Therefore  $\partial_n$  is an injective zero map, which forces  $C_n = 0$ .
3. This follows from the base change formula  $R/I \otimes_R M \cong M/IM$  with  $I = \mathcal{M}$  (see TBGY, S7.1).
4. We have  $g^* : 1 \otimes e_i \rightarrow 1 \otimes x_i$ , which is an isomorphism. (The inverse is  $1 \otimes x_i \rightarrow 1 \otimes e_i$ .) Thus  $\text{im } f^* = \ker g^* = 0$ . Since  $f^*$  is the zero map,  $\delta$  is surjective. But  $\ker u_M$  is 0 by hypothesis, so  $\delta = 0$ . This forces  $\text{coker } u_K = 0$ .
5. By Problem 4,  $K = MK$ . Since  $M$  is a Noetherian  $R$ -module,  $K$  is finitely generated, so by NAK we have  $K = 0$ . Thus  $0 = \text{im } f = \ker g$ , so  $g$  is injective.
6. Since free implies projective implies flat always, it suffices to show that flat implies free. If  $M$  is flat, then the functor  $N \rightarrow N \otimes_R M$  is exact. If  $\mathcal{M}$  is the maximal ideal of  $R$ , then the map  $\mathcal{M} \otimes_R M \rightarrow R \otimes_R M \cong M$  via  $a \otimes x \rightarrow ax$  is injective. But this map is just  $u_M$ , and the result follows from Problems 3-5.
7. We have the short exact sequence  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$ , which induces, for any  $R$ -module  $N$ , the exact sequence

$$\text{Hom}_R(R/I, N) \rightarrow \text{Hom}_R(R, N) \rightarrow \text{Hom}_R(I, N) \rightarrow \text{Ext}_R^1(R/I, N).$$

The last term is 0 by hypothesis, hence the map  $i^* : \text{Hom}_R(R, N) \rightarrow \text{Hom}_R(I, N)$  is surjective. This says, by Baer's criterion (TBGY 10.6.4), that  $N$  is injective.

8. The left side is at least equal to the right side by (7.2.5), so assuming that the right side is at most  $n$ , it suffices to show that  $\text{id}_R N \leq n$  for all  $N$ . Given an exact sequence as in (7.2.4) part 4, dimension shifting yields  $\text{Ext}_R^{n+1}(R/I, N) \cong \text{Ext}_R^1(R/I, C_{n-1})$ . By (7.2.4),  $\text{Ext}_R^1(R/I, C_{n-1}) = 0$ , so by (7.2.1) and Problem 7,  $C_{n-1}$  is injective. By (7.2.4),  $\text{id}_R N \leq n$ .
9. If (a) holds, only the second assertion of (b) requires proof. Apply Tor to the exact sequence  $0 \rightarrow N' \rightarrow N \rightarrow N'' \rightarrow 0$  to get the exact sequence

$$0 = \text{Tor}_1^R(M, N'') \rightarrow M \otimes_R N' \rightarrow M \otimes_R N \rightarrow M \otimes_R N'' \rightarrow 0.$$

We may replace  $M \otimes_R N$  by  $(M \otimes_R S) \otimes_S N$ , and similarly for the other two tensor products. By exactness,  $M \otimes_R S$  is flat. Now assuming (b), we have  $\text{Tor}_1^R(M, F) = 0$  for every free  $S$ -module  $F$ , because Tor commutes with direct sums. If  $N$  is an arbitrary  $S$ -module, we have a short exact sequence  $0 \rightarrow K \rightarrow F \rightarrow N \rightarrow 0$  with  $F$  free. The corresponding (truncated) long exact sequence is

$$0 = \text{Tor}_1^R(M, F) \rightarrow \text{Tor}_1^R(M, N) \rightarrow M \otimes_R K \rightarrow M \otimes_R F \rightarrow M \otimes_R N \rightarrow 0.$$

As before, we replace  $M \otimes_R K$  by  $(M \otimes_R S) \otimes_S K$ , and similarly for the other two tensor products. The map whose domain is  $(M \otimes_R S) \otimes_S K$  is induced by the inclusion of  $K$  into  $F$ , and is therefore injective, because  $M \otimes_R S$  is a flat  $S$ -module by hypothesis. Thus the kernel of the map, namely  $\text{Tor}_1^R(M, N)$ , is zero.

## Chapter 8

1. To ease the notation we will omit all the overbars and adopt the convention that all calculations are mod  $(X^3 - Y^2)$ . We have  $(X^2 + X + 1)(X - 1) = X^3 - 1 = Y^2 - 1 = (Y - 1)(Y + 1)$ . Now  $X^2 + X + 1$  and  $Y + 1$  are units in  $R$  because they do not vanish when  $X = Y = 1$ , assuming that the characteristic of  $K$  is not 2 or 3. Thus  $X - 1$  and  $Y - 1$  are associates.
2. The maximal ideal is not principal because  $\overline{X}$  and  $\overline{Y}$  cannot both be multiples of a single polynomial. To show that  $\dim R = 1$ , we use (5.6.7). Since  $K(Y)$  has transcendence degree 1 over  $K$  and  $K(X, Y)/(X^3 - Y^2)$  is algebraic over  $K(Y)$ , (we are adjoining a root of  $X^3 - Y^2$ ), it follows that the dimension of  $K[X, Y]/(X^3 - Y^2)$  is 1. By (5.3.1), the coheight of  $(X^3 - Y^2)$  is 1, and the corresponding sequence of prime ideals is  $(X^3 - Y^2), (X, Y)$ . Thus localization at  $(\overline{X}, \overline{Y})$  has no effect on dimension, so  $\dim R = 1$ . (In general, prime ideals of a localized ring  $A_P$  correspond to prime ideals of  $A$  that are contained in  $P$ , so localization may reduce the dimension.)
3. By definition, the Hilbert polynomial is the composition length  $l_k(I^n/I^{n+1})$ . Since monomials of degree  $n$  in  $r$  variables form a basis for the polynomials of degree  $n$ , we must count the number of such monomials, which is

$$\binom{n+r-1}{r-1} = \frac{(n+r-1)(n+r-2) \cdots (n+2)(n+1)}{(r-1)!}$$

This is a polynomial of degree  $r - 1$  in the variable  $n$ .

4. This follows from Problem 3 and additivity of length (5.2.3).
5. Fix a nonzero element  $b \in B_d$ . (Frequently,  $b$  is referred to as a homogeneous element of degree  $d$ .) By definition of a graded ring, we have  $bA_n \subseteq B_{n+d}$  for  $n \geq 0$ . Then

$$l_k(B_{n+d}) \geq l_k(bA_n) = l_k(A_n) \geq l_k(B_n).$$

Since  $l_k(A_n) = \binom{n+r-1}{r-1}$ , the result follows.

6. If  $R$  is regular, we may define the graded  $k$ -algebra homomorphism  $\varphi$  of Problems 3-5 with  $r = d$ . Since the Hilbert polynomial has degree  $d - 1$ ,  $\varphi$  is an isomorphism. Conversely, an isomorphism of graded  $k$ -algebras induces an isomorphism of first components, in other words,

$$(k[X_1, \dots, X_d])_1 \cong \mathcal{M}/\mathcal{M}^2.$$

But the  $k$ -vector space on the left has a basis consisting of all monomials of degree 1. Since there are exactly  $d$  of these, we have  $\dim_k \mathcal{M}/\mathcal{M}^2 = d$ . By (8.1.3),  $R$  is regular.