

# COS, Day 14

$F: X \rightarrow Y$  a continuous map of top. spaces.

$$\leadsto F_*: \text{AbShv}(X) \rightarrow \text{AbShv}(Y)$$

is ~~right~~ <sup>left</sup> exact. Same for ringed spaces,  $\mathcal{O}_X\text{-Mod} \rightarrow \mathcal{O}_Y\text{-Mod}$

As usual, for  $X, Y$  ~~reasonable~~ schemes, have

$$F_*: \text{Qcoh}(X) \rightarrow \text{Qcoh}(Y).$$

~~All~~ <sup>All</sup> ~~these~~ are left exact. Right derived functors are (abusively?) denoted  $R^i F_*$ .

~~Fact~~ ~~When~~

Fact Whenever  $\text{Qcoh}(X) \rightarrow \text{AbShv}(X)$  ~~preserves~~ takes injectives to acyclic objects for  $F_*$ , these agree.

E.g.  $X$  locally noetherian.

Prop  $R^i F_*(\mathcal{F})$  is the sheafification of the presheaf  $V \mapsto H^i(F^{-1}(V), \mathcal{F}|_{F^{-1}(V)})$ .

Pf. Define this sheaf to be  $\mathcal{H}^i(X, \mathcal{F})$ . Then

$\{\mathcal{H}^i\}$  form a  $\delta$ -functor, agreeing with  $L_*$  for  $i=0$ . Now if  $I \in \mathcal{O}_X\text{-Mod}$  is injective, so is  $I|_{F^{-1}(V)}$ , so  $\mathcal{H}^i(X, \rightarrow)$  is effaceable. Thus they agree.  $\square$

Cor  $X \rightarrow \text{Spec } R$  morphism of schemes,  $X$  locally noetherian. Then  $R^i f_* \mathcal{F} = H^i(X, \mathcal{F})$ .

Cor If  $f: X \rightarrow Y$  is morphism of schemes,  $X$  loc. noetherian,  $\mathcal{F} \in \text{QCoh}(X)$ , then  $R^i f_* \mathcal{F} \in \text{QCoh}(Y)$ .

How to Compute  $R^i f_* \mathcal{F}$

Given  $X \xrightarrow{f} Y$  with  $X, Y$  separated, choose affine open cover  $X = \bigcup \text{Spec } R_i$ .

Claim For  $U \subseteq Y$  affine open,  $f^{-1}U \cap \text{Spec } R_i$  is affine open.

PF.  $X, Y$  separated  $\implies (1, f): X \rightarrow X \times_Y Y$  is closed immersion. (check!).

Now  $f^{-1}(U) \cap \text{Spec } R_i = (1, f)(X) \cap (\text{Spec } R_i \times U) \square$

Now from  $f_* \check{C}_{\text{sm}}(\{\text{Spec } R_i\}, \mathcal{F})$ .

Claim  $f_* \check{C}_{\text{sm}}(\{\text{Spec } R_i\}, \mathcal{F}) \cong R^i f_* \mathcal{F}$ .

PF. By claim, restrict to  $Y$  affine. Now use that  $R^i f_* \mathcal{F} = H^i(X, \mathcal{F}) = H^i(f_* \check{C}_{\text{sm}}(\{\text{Spec } R_i\}, \mathcal{F}))$ .

Remark It's a Theorem of Grothendieck that:

Thm [Grothendieck]  $X$  a noetherian topological space of dimension  $n$ . Then for all  $i > n$  and sheaves of abelian groups  $\mathcal{F}$  on  $X$ ,  
$$H^i(X, \mathcal{F}) = 0.$$

We won't prove: see [H], Thm. III.2.7.

In general the relationship between  $R^i_* \mathcal{F}$  and, for some  $y \in Y$ ,  $(R^i_*) (\mathcal{F}|_{x_y})$  is complicated!

Lemma Suppose  $X$  is integral — for example an irreducible quasiprojective variety — and  $X \xrightarrow{f} Y$  is dominant and  $Y$  is reduced (hence also integral). Then for any torsion-free quasicoherent sheaf  $\mathcal{F}$  on  $X$ ,  $f_* \mathcal{F}$  is torsion-free.

Pf. Compute as  $\ker (f_* \mathcal{C}^0(U, \mathcal{F}) \rightarrow f_* \mathcal{C}^1(U, \mathcal{F}))$ , submodule of a torsion-free module.  $\square$

Example.  $E$  an elliptic curve,  $L = \mathcal{O}(E \times_{\mathbb{P}^1} \mathbb{P}^1 - \Delta)$ .

Consider  $E \times E \xrightarrow{p_1} E$ . What is  $p_{1*} L$ ?

Over  $(E \setminus \{p\}) \times E$ , have <sup>divisor</sup>  $(E \setminus \{p\}) \times \{p\} - \Delta|_{(E \setminus \{p\}) \times E}$

disjoint union of two divisors.

Sections of  $L|_{(E \setminus \{p\}) \times E}$  restrict to each of

$\{q\} \times E$  as function with pole at

$\{q\} \times \{p\}$ , zero at  $\{q\} \times \{q\}$ , regular

elsewhere. Now  $H^0(E, \mathcal{O}(p-q)) = 0$  if  $q \neq p$ .

So,  $R_* L|_{(E \setminus \{p\}) \times E} = 0$ . (\*)

But,  $L|_{\{p\} \times E} \cong \mathcal{O}_E$ , so it has sections.

Thus  $R_* L = 0$  (by (\*) plus lemma), while

$$R_*(L|_{\{p\} \times E}) = \mathcal{O}_p.$$

So if you hoped fibers of  $R_* L$  computed by sections of  $L|_{\{q\} \times E}$ , they're not!

### Cor of Grothendieck's Thm.

Suppose  $f: X \rightarrow Y$  is a morphism with fibers of  $\dim \leq n$ . Then  $R^* f_*$  is right-exact.

PF. Use long exact coh. sequence plus Grothendieck.  $\square$

Now if  $\mathcal{F}$  is coh. sheaf on  $X$ ,  $y \in Y$  closed,

$X_y = X \times_Y \{y\} \subseteq X$  closed, get

$\mathcal{F} \rightarrow \mathcal{F}|_{X_Y}$ , hence

$$R^*_{\mathcal{F}} \mathcal{F} \rightarrow R^*_{\mathcal{F}} \mathcal{F}|_{X_Y}.$$

Commutative diagram

$$\begin{array}{ccc} X_Y & \rightarrow & X \\ \downarrow \gamma & & \downarrow \beta \\ \{y\} & \rightarrow & Y \end{array}$$

tells us  $R^*_{\mathcal{F}} \mathcal{F}|_{X_Y} = H^1(X_Y, \mathcal{F}|_{X_Y})$ .

Conclusion Analyze  $L$  on  $E \times E$  again, where

$L = \mathcal{O}(E \times \{p\} - \Delta)$ , Over  $E \setminus \{p\}$ ,  
compute  $R^*_{\mathcal{F}} L = 0$ . But

$$R^1_{\mathcal{F}} L \rightarrow R^1_{\mathcal{F}} L|_{\{p\} \times E} = H^1(E, \mathcal{O}) = \mathcal{O}_p.$$

## Math 595 Cohomology of Schemes, Day 15 Problems

---

**Theorem 1** (Theorem on Formal Functions). *Let  $f : X \rightarrow Y$  be a proper morphism of noetherian schemes, let  $\mathcal{F}$  be a coherent sheaf on  $X$ , and let  $y \in Y$ . Then the natural map*

$$R^i f_* \widehat{(\mathcal{F})}_y \rightarrow \varprojlim H^i(X_n, \mathcal{F}_n),$$

where  $X_n = X \times_Y \text{Spec}(\mathcal{O}_y/\mathfrak{m}_y^n)$  and  $\mathcal{F}_n = \mathcal{F}|_{X_n}$ , is an isomorphism for all  $i \geq 0$ .

**Corollary 2.** *Let  $f : X \rightarrow Y$  be a proper morphism of noetherian schemes, and let  $r = \max\{\dim X_y \mid y \in Y\}$ . Then  $R^i f_* \mathcal{F} = 0$  for and  $i > r$  and quasicohherent sheaves  $\mathcal{F}$  on  $X$ .*

Recall from last time the invertible sheaf  $L = \mathcal{O}(E \times \{p\} - \Delta)$  on  $E \times E$ , and its direct image  $R^1 p_* L$  where  $p : E \times E \rightarrow E$  is projection on the first factor.

**Problem 3.** Consider the neighborhood  $(E \times E)_2$  of  $(E \times E)_p = \{p\} \times E$ . Show that  $(E \times E)_2 \cong \text{Spec}(k[\epsilon]) \times E$  where  $k[\epsilon] := k[\epsilon]/(\epsilon^2)$  is the ring of dual numbers.

Then, choose such an isomorphism, inducing a projection  $(E \times E)_2 \xrightarrow{q} E$ , and form  $q_* L_2$ .

**Problem 4.** Show that  $q_* L_2$  is a rank 2 locally free sheaf on  $E$  equipped with a short exact sequence

$$0 \rightarrow \mathcal{O}_E \cong q_*(\epsilon L_2) \rightarrow q_* L_2 \rightarrow q_*(L_2/\epsilon L_2) \cong \mathcal{O}_E \rightarrow 0.$$

**Problem 5.** Argue that the extension in the previous problem is non-split. [Your argument may have to be a little bit hand-wavy, I'm afraid.]

**Problem 6.** Use Serre duality to conclude that  $R^1 p_* L_2$  has a 1-dimensional space of global sections. Hence, it is a skyscraper sheaf.

**Problem 7.** Use the Theorem on Formal Functions (and its corollary), the previous problem, and what we did last time to conclude that  $R^1 p_* L \cong \mathcal{O}_p$  (the skyscraper sheaf at  $p$ ).

More consequences of the Theorem on Formal Functions:

**Corollary 8.** *Let  $f : X \rightarrow Y$  be a projective morphism of noetherian schemes, and assume that  $f_* \mathcal{O}_X = \mathcal{O}_Y$ . Then  $f^{-1}(y)$  is connected, for every  $y \in Y$ .*

**Theorem 9** (Zariski's Main Theorem). *Let  $f : X \rightarrow Y$  be a birational projective morphism of noetherian integral schemes, and assume  $Y$  is normal. Then for every  $y \in Y$ ,  $f^{-1}(y)$  is connected.*

**Theorem 10** (Stein Factorization). *Let  $f : X \rightarrow Y$  be a projective morphism of noetherian schemes. Then  $f$  factors as  $g \circ f'$  where  $f' : X \rightarrow Y'$  is a projective morphism with connected fibers, and  $g : Y' \rightarrow Y$  is a finite morphism.*

**Problem 11.** Suppose that  $\{X_t\}$  is a flat family of closed subschemes of  $\mathbb{P}_k^n$  parameterized by an irreducible nonsingular curve  $T$  of finite type over  $k$ . Suppose there is a nonempty open subset  $U \subseteq T$  such that for all closed points  $t \in U$ , the fiber  $X_t$  is connected. Prove that  $X_t$  is connected for all  $t \in T$ .

*Remark* . Hartshorne states this for any integral curve  $T$  but I must admit I don't see how to prove it...do you?

# COS, Day 16

## Semicontinuity Thm

Let  $X$  and  $Y$  be noetherian schemes  
Let  $f: X \rightarrow Y$  be a proper morphism,

$\mathcal{F} \in \text{coh}(X)$ ,  $\mathcal{F}$  flat over  $Y$ . Then for each  $i \geq 0$ , the function

$$h^i(-, \mathcal{F}): Y \rightarrow \mathbb{Z}_{\geq 0}$$

$$h^i(y, \mathcal{F}) = \dim_{k(y)} H^i(X_y, \mathcal{F}_y)$$

is upper semicontinuous.

## Recall

$\mathcal{F}$  is flat over  $Y$  if for all  $x \in X$ ,  
 $\mathcal{F}_x$  is a flat  $(\mathcal{O}_Y, \mathcal{O}_x)$ -module.

$h^i$  upper semicontinuous:

$$h^i(\mathbb{Z}_{\geq n}) \text{ is closed for all } n \in \mathbb{Z}.$$

" $h^i$  can only jump up in limits."

Ex  $L = \mathcal{O}(E \times \{p\} - \Delta)$  on  $E \times E$  (Poincaré sheaf).

Then for  $f = p_1: E \times E \rightarrow E$ ,

$$h^0(q, L) = h^1(q, L) = 0 \text{ for } q \neq p,$$

$$h^0(p, L) = h^1(p, L) = 1.$$

[Is  $L$  flat over  $E$  ?]

more precisely is  $L$   $p_i$ -flat ?

## Theorem [Cohomology and Base Change]

Let  $\rho: X \rightarrow Y$  be a proper morphism of noetherian schemes,  $\mathcal{F} \in \text{coh}(X)$ ,  $\rho$  flat along  $\mathcal{F}$ . Then

(i) if the natural map

$$\varphi^i(y) = R^i \rho_{*} \mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{O}_Y \rightarrow H^i(X_y, \mathcal{F}_y)$$

is surjective, it is an isomorphism, and it is then an isomorphism for all  $y'$  in some neighborhood of  $y$ .

(ii) if  $\varphi^i(y)$  is surjective, TFAE:

(a)  $\varphi^{i-1}(y)$  is surjective

(b)  $R^i \rho_{*} \mathcal{F}$  is locally free in a neighborhood of  $y$ .

The intuition and the proof are modelled on the situation where one has linear maps

$$k^{n_1} \xrightarrow{M_1} k^{n_2} \xrightarrow{M_2} k^{n_3}$$

where  $M_1 = M_1(x_1, \dots, x_n)$ ,  $M_2 = M_2(x_1, \dots, x_n)$  depend polynomially on parameters: so,

$$\text{e.g. } \begin{array}{c} \text{C:} \\ 0 \end{array} \left( \begin{array}{ccc} k[t] & \xrightarrow{(1, -1)} & k[t]^2 \xrightarrow{(t, t)} k[t] \\ & & 1 \qquad \qquad \qquad 2 \end{array} \right)$$



In this example,  $\ker(M_2) = \{(f, -f)\}$ .

$M_2 \otimes k(0): k^2 \rightarrow k$  is the zero map.

So  ~~$k^2 \otimes k(0)$~~

$$H^2(C^\bullet \otimes k(0)) = k, \quad H^1(C^\bullet \otimes k(0)) = k^2 / k \cdot (1, -1) \cong k.$$

Also

~~$H^2(C^\bullet)$~~   $H^2(C^\bullet) = k[t]/(t)$ , get

$$H^2(C^\bullet) \otimes k(0) \rightarrow H^2(C^\bullet \otimes k(0)) \text{ is an isomorphism!}$$

$$\parallel$$
$$H^2(C^\bullet)$$

$$H^1(C^\bullet) = \{(f, -f) \mid f \in k[t]\} / \text{Im}(k[t]) = \{0\}.$$

Also  $H^1(C^\bullet \otimes k(0)) = (\ker(k^2 \xrightarrow{0} k)) / \text{Im}(k \xrightarrow{(1, -1)} k^2)$   
 $\cong k$ .

So  $H^1(C^\bullet \otimes k(0)) \leftarrow H^1(C^\bullet) \otimes k(0)$  not surjective,  
as seen by  $H^2(C^\bullet)$  not being locally  
free!