

# The List Version of the Borodin–Kostochka Conjecture for Graphs with Large Max Degree

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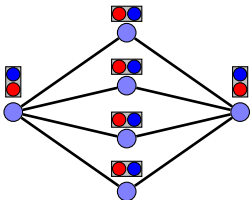
March 5, 2013

- 1 Preliminaries and History
- 2 Outline of the proof and Main Ideas
- 3 Only some details of obtaining a proper coloring

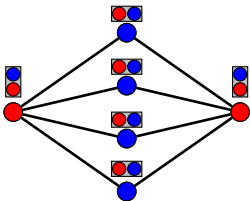
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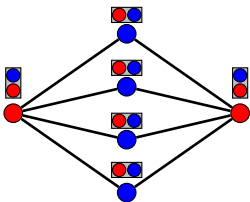
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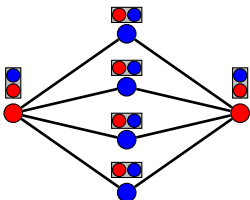
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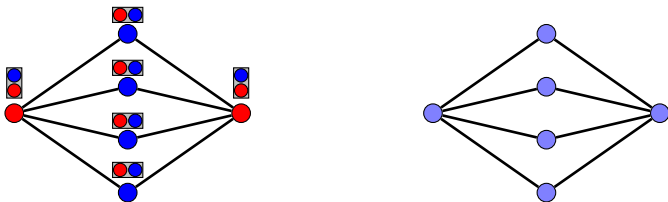
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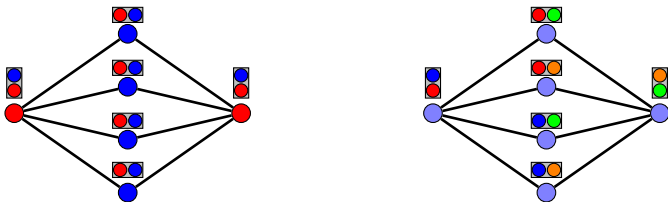


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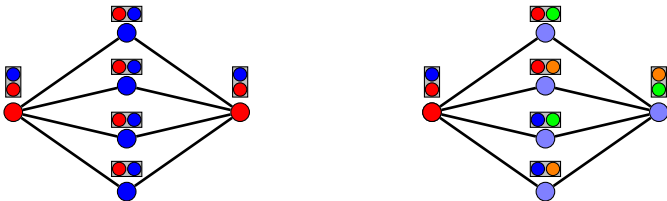


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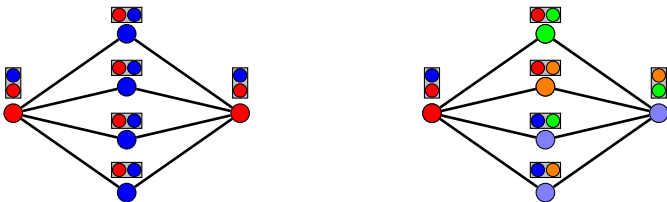


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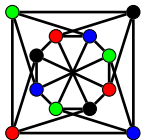
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### Conjecture (Reed 1998)

For any graph  $G$ ,

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$$\frac{2 + 4 + 1}{2} < 4 \leq \left\lceil \frac{2 + 4 + 1}{2} \right\rceil$$

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### Theorem (Brooks 1941)

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Can this be extended?

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## Conjecture (Borodin–Kostochka 1977)

Given a graph  $G$  with  $\Delta(G) \geq 9$ ,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi(G) \leq \Delta(G) - 1$$

If true, then sharp. Different phrasing:

## Conjecture (Borodin–Kostochka 1977)

Every graph  $G$  satisfying  $\chi(G) \geq \Delta(G) \geq 9$  contains a  $K_{\Delta(G)}$ .

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### Theorem (Kostochka 1980)

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### Theorem (Reed 1999)

*Every graph  $G$  satisfying  $\chi(G) \geq \Delta(G) \geq 10^{14}$  contains a  $K_{\Delta(G)}$ .*

Reed claims the thm is still true for max degree at least  $10^3$ , but not  $10^2$ .

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### Theorem (Vizing 1976)

*Given a graph  $G$  with sufficiently large  $\Delta(G)$ ,*

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Not true even for the ordinary chromatic number when  $k = 2$ .

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**Theorem (C.–Kierstead–Rabern–Reed 2013+)**

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$G$  has minimum degree  $\Delta - 1$ .

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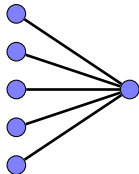
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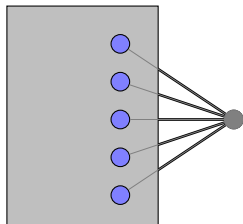
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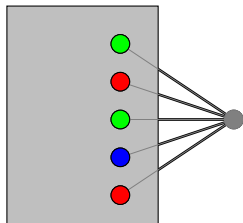
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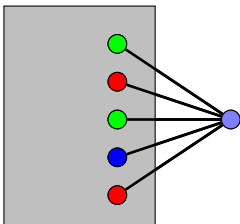
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- 1 **Decompose**  $G$  “nicely” so we can analyze smaller pieces.

### Theorem (Lovász Local Lemma)

Consider a set of (bad) events  $\mathcal{E}$  where each  $E$  satisfies the following:

- $\Pr(E) \leq p$
- $E$  is mutually independent to a set of all but at most  $d$  other events.

If  $ep(d+1) \leq 1$ , then with positive probability, no event in  $\mathcal{E}$  occurs.

- 2 **Color randomly** to obtain a good (enough) partial coloring.

### Theorem (Azuma's Inequality)

Let  $X$  be a random variable determined by  $n$  trials  $T_1, \dots, T_n$ . For each  $i$  and any two possible outcomes, if the following holds:

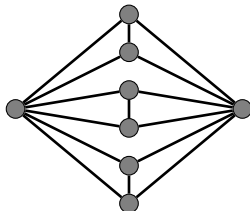
$$|E(X | T_1 = t_1, \dots, T_i = t_i) - E(X | T_1 = t_1, \dots, T_i = t'_i)| \leq c_i,$$

then  $\Pr(|X - E(X)| > t) \leq 2e^{-t^2/(2\sum c_i^2)}$ .

- 3 For the remaining graph, **color greedily** to show that  $G$  cannot exist.

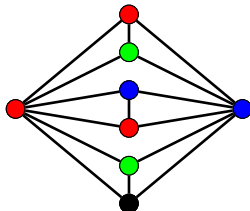
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- 1 Randomly choose a color in  $L(v)$  to use on  $v$ .
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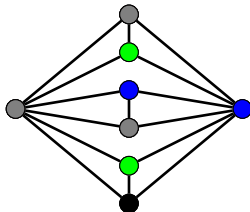
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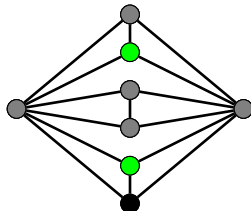
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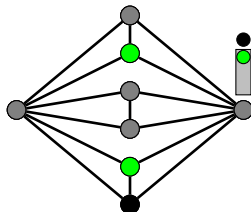
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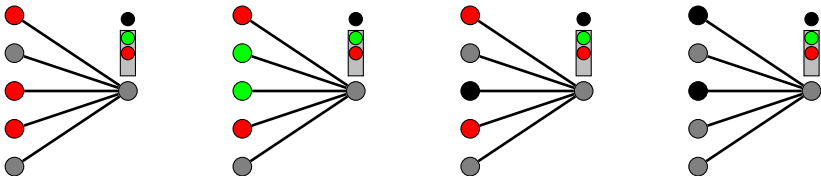
- 1 Randomly choose a color in  $L(v)$  to use on  $v$ .
- 2 Remove any conflicts.



## Definition

Given a partial coloring of  $G$ , an uncolored vertex  $v$  of degree  $\Delta$  is *safe* if one of the following occurs:

- a color is repeated three times in  $N(v)$ ;
- two colors are repeated twice in  $N(v)$ ;
- a color is repeated twice in  $N(v)$  and a color not in  $L(v)$  is in  $N(v)$ ;
- two colors not in  $L(v)$  appear in  $N(v)$ .



Note that a vertex with two uncolored neighbors can always be colored.



- 1 Preliminaries and History
- 2 Outline of the proof and Main Ideas
- 3 Only some details of obtaining a proper coloring

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




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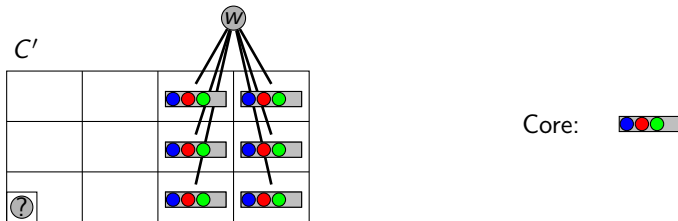
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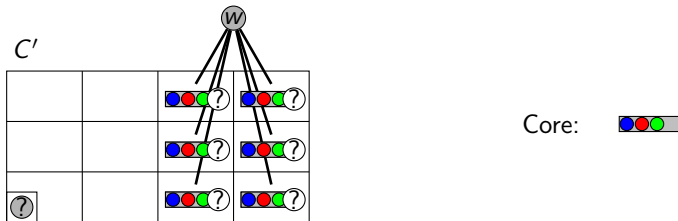
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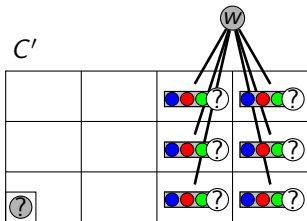
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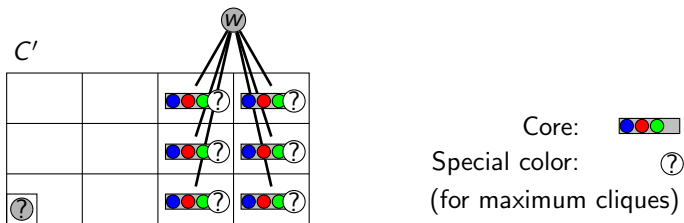
Special color:

(for maximum cliques)

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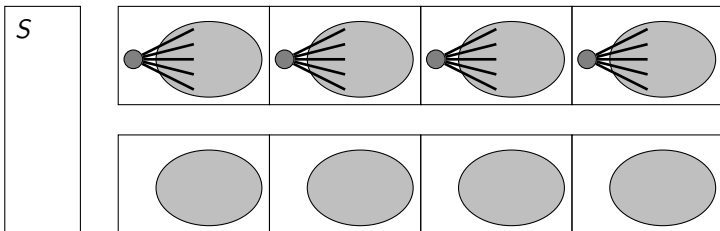
- Each vertex in a big clique  $C$  has at most one neighbor outside of  $C$  with more than 4 neighbors in  $C$ .
- There is at most one vertex outside of a  $(\Delta - 1)$ -clique with more than 4 neighbors in the clique.
- Each special color appears in at most 5 lists.

A vertex  $v$  is *sparse* if  $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$ .

### Lemma

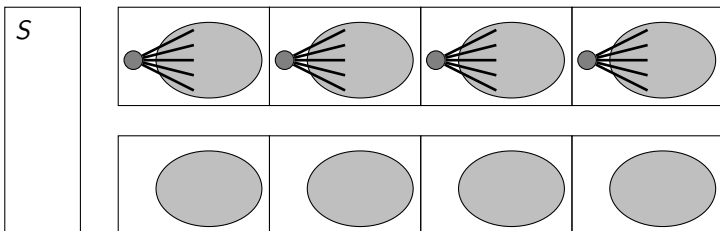
We can partition  $V(G)$  into sets  $S, D_1, \dots, D_l$  such that

- every vertex in  $S$  is sparse;
- $\exists w_i \in D_i$  such that  $D_i - w_i$  is a clique of size  $\Delta - o(\Delta)$ ;
- no vertex outside of  $D_i$  has more than  $\frac{3\Delta}{4}$  neighbors in  $D_i$  and  $w_i$  has at least  $\frac{3\Delta}{4}$  neighbors in  $D_i$ ;



Partition the cliques further.

- 1  $\mathcal{P}_3$ : set of maximum cliques  $C$  where some vertex not in  $C$  has “many” neighbors in  $C$ .
- 2  $\mathcal{P}_{1,2}$ : other cliques.

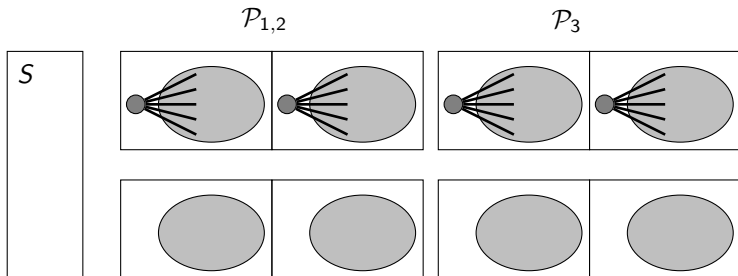


Want to show: with high probability,  
each sparse vertex is safe and each clique has two safe vertices.

Bad events for the Lovász Local Lemma.

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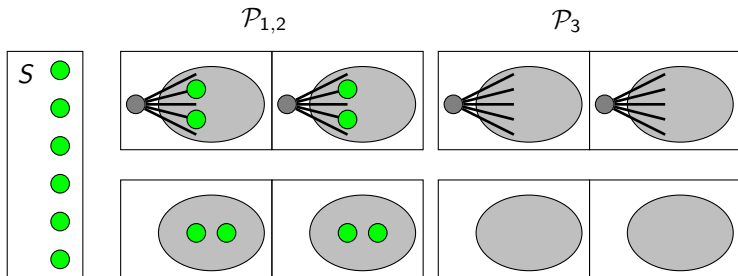
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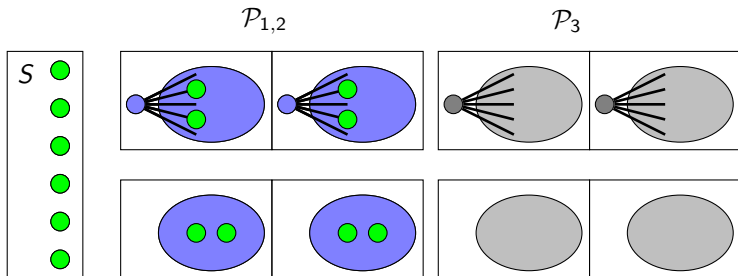




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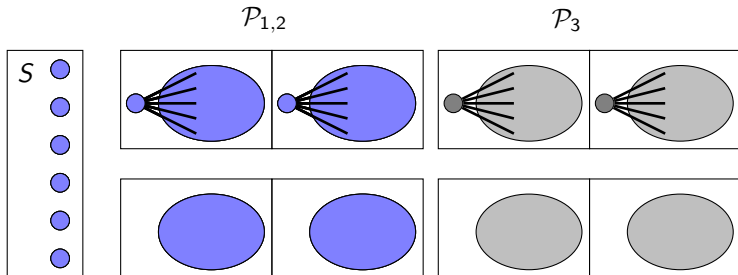
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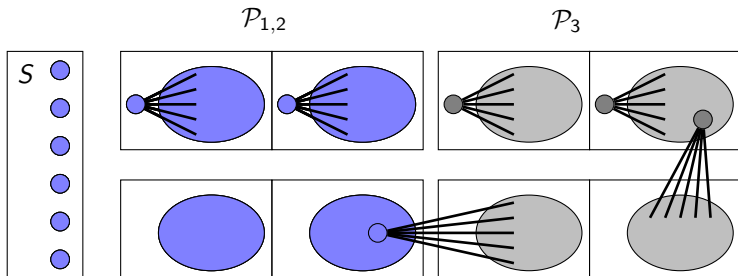
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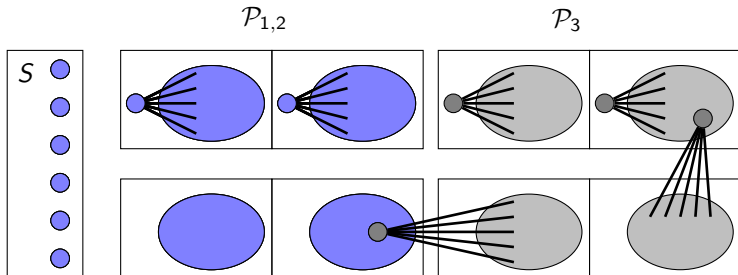
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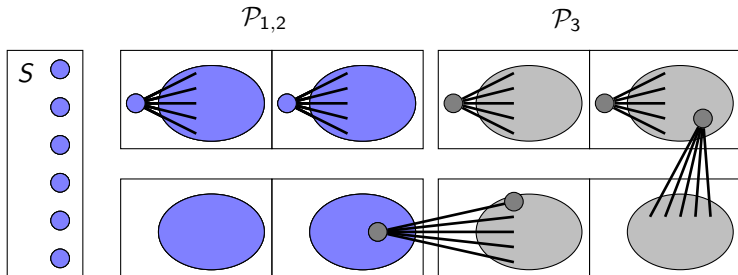
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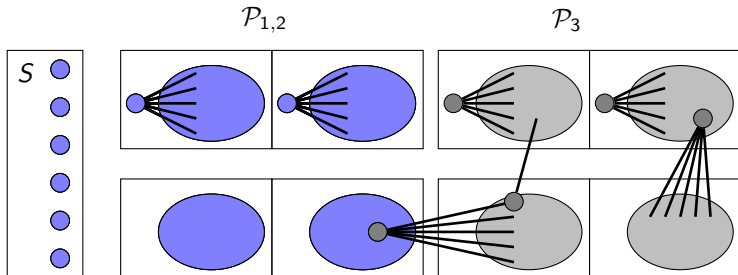
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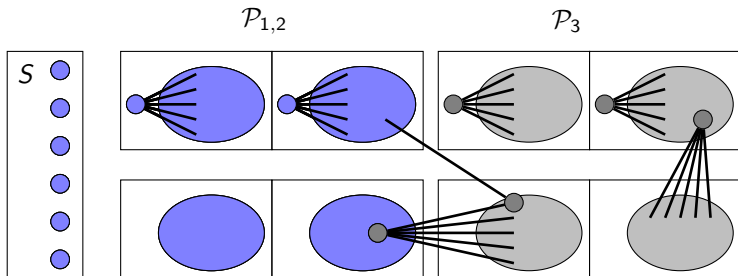
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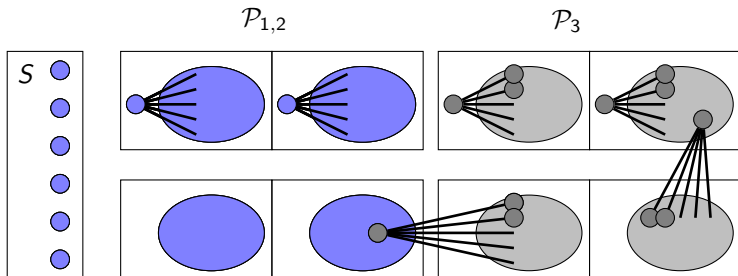
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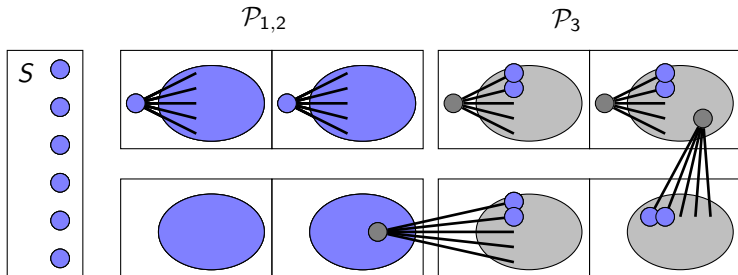




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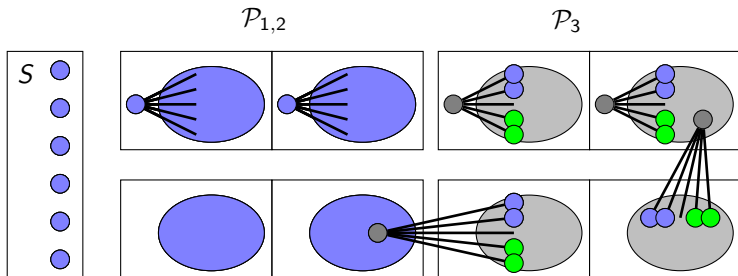
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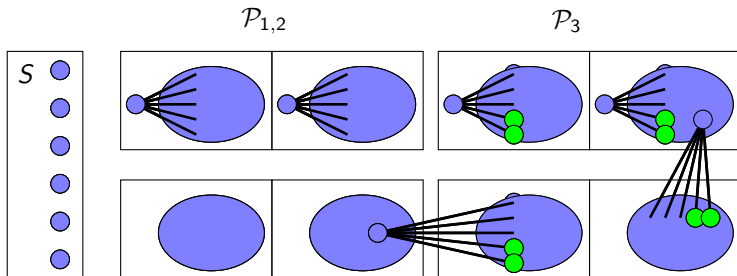
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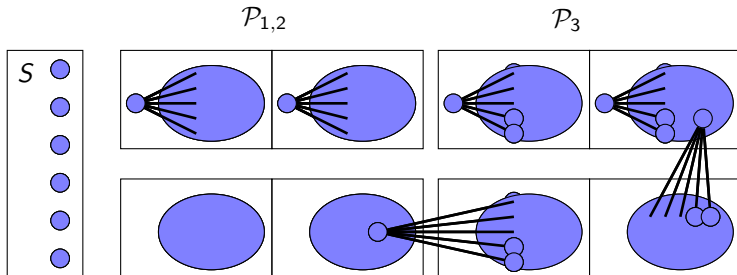
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Consider a set of (bad) events  $\mathcal{E}$  where each  $E$  satisfies the following:

- $\Pr(E) \leq p$
- $E$  is mutually independent to a set of all but at most  $d$  other events.

If  $ep(d + 1) \leq 1$ , then with positive probability, no event in  $\mathcal{E}$  occurs.

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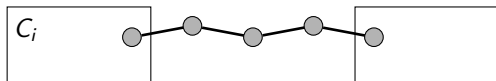
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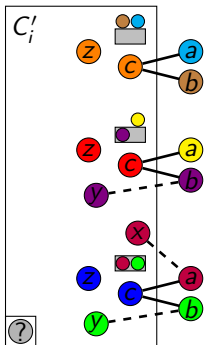
One event is not mutually independent to at most  $\Delta^5$  other events.



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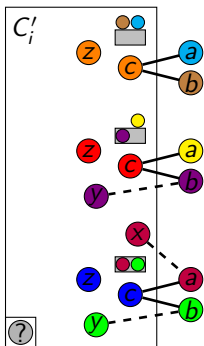


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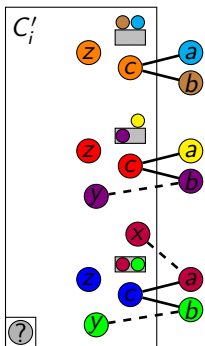
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First, calculate the expected number of safe vertices  $X$ .

Second, show that  $X$  is concentrated around its mean.

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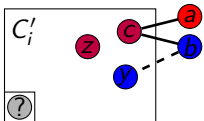
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Azuma's Inequality!

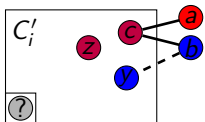
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Assume  $L(c) \cap L(a) < \frac{2}{3}\Delta$  and  $L(c) \cap L(b) \geq \frac{2}{3}\Delta$ .

$$y \in C'_i - T_i - N(b)$$

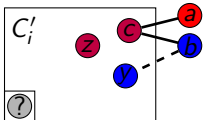
$$z \in C'_i - T_i$$

$$\alpha \in L(a) - L(c)$$

$$\beta \in L(b) \cap L(y)$$

$$\gamma \in L(c) \cap L(z)$$

- For each  $C_i \in \mathcal{P}_{1,2}$ , let  $\mathcal{E}_{1,i}$  be the event that  $C_i$  does not contain two safe vertices; Let  $|C_i| = \Delta - 2$  so that  $C_i \in \mathcal{P}_{1,2}$ . At least  $0.1314\Delta P_3^s$ .



Assume  $L(c) \cap L(a) < \frac{2}{3}\Delta$  and  $L(c) \cap L(b) \geq \frac{2}{3}\Delta$ .

$$\begin{array}{ll}
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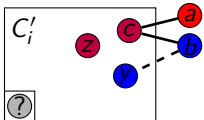
Let  $A_{y,z}^{\alpha,\beta,\gamma}$  be the event that the following holds:

- $\alpha$  is used on  $a$  and none of the rest of  $N(a) \cup T_i$ ;
- $\beta$  is used on  $b$  and  $y$  and none of the rest of  $N(b) \cup N(y) \cup T_i$ ;
- $\gamma$  is used on  $c$  and  $z$  and none of the rest of  $T_i$ .

$$\Pr(A_{y,z}^{\alpha,\beta,\gamma}) \geq (\Delta - 1)^{-5} \left(1 - \frac{1}{\Delta - 1}\right)^{|T_i \cup N(a)| + |T_i \cup N(y) \cup N(b)| + |T_i|} \geq \Delta^{-5} e^{-3.4}$$

There are  $\frac{\Delta}{3} \frac{6\Delta}{7} \frac{2\Delta}{3} \frac{6\Delta}{7} \Delta$  choices for indices. Thus, the probability that  $A_{y,z}^{\alpha,\beta,\gamma}$  happens for some choice of indices is at least 0.00375.

- For each  $C_i \in \mathcal{P}_{1,2}$ , let  $\mathcal{E}_{1,i}$  be the event that  $C_i$  does not contain two safe vertices; Let  $|C_i| = \Delta - 2$  so that  $C_i \in \mathcal{P}_{1,2}$ . At least  $0.1314\Delta P_3^s$ .



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Therefore, expected number of safe vertices is  $2.5 \cdot 10^{-4} \Delta$ .

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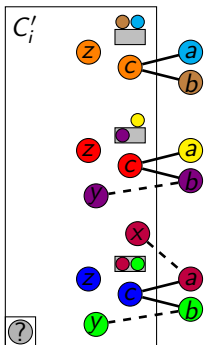
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### Theorem (Azuma's Inequality)

Let  $X$  be a random variable determined by  $n$  trials  $T_1, \dots, T_n$ . For each  $i$  and any two possible outcomes, if the following holds:

$$|E(X|T_1 = t_1, \dots, T_i = t_i) - E(X|T_1 = t_1, \dots, T_i = t'_i)| \leq c_i,$$

then  $\Pr(|X - E(X)| > t) \leq 2e^{-t^2/(2\sum c_i^2)}$ .



If  $v \in T_i \cup C'_i$ , then  $c_v \leq 2$ .  
Sum of  $c_v^2$  is at most  $8\Delta$ .

For large  $\Delta$ ,

$$2e^{\frac{-(2.5 \cdot 10^{-4} \Delta - 2)^2}{80\Delta}} \leq \Delta^{-6}$$

- 1 **Decompose**  $G$  “nicely” so we can analyze smaller pieces.

### Theorem (Lovász Local Lemma)

Consider a set of (bad) events  $\mathcal{E}$  where each  $E$  satisfies the following:

- $\Pr(E) \leq p$
- $E$  is mutually independent to a set of all but at most  $d$  other events.

If  $ep(d+1) \leq 1$ , then with positive probability, no event in  $\mathcal{E}$  occurs.

- 2 **Color randomly** to obtain a good (enough) partial coloring.

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then  $\Pr(|X - E(X)| > t) \leq 2e^{-t^2/(2\sum c_i^2)}$ .

- 3 For the remaining graph, **color greedily** to show that  $G$  cannot exist.

Thank you!