

Large gaps in sets of primes and other sequences
II. New bounds for large gaps between primes
Random methods and weighted sieves

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Large gaps between primes

Def: $G(x) := \max_{p_n \leq x} (p_n - p_{n-1})$, p_n is the n^{th} prime.

Theorem (F-Green-Konyagin-Maynard-Tao, 2018)

$$G(x) \gg \log x \frac{\log_2 x \log_4 x}{\log_3 x}.$$

Chains of gaps:

$$G_k(x) := \max_{p_{n+k} \leq x} \min(p_{n+1} - p_n, \dots, p_{n+k} - p_{n+k-1})$$

Theorem (F-Maynard-Tao, 2018+)

For every k ,

$$G_k(x) \gg_k \log x \frac{\log_2 x \log_4 x}{\log_3 x}$$

Proving large gaps: Jacobsthal's function

$$\mathcal{S}_T = \{n \in \mathbb{Z} : (n, Q_T) = 1\}, \quad Q_T = \prod_{p \leq T} p.$$

Main goal: Find $J(T)$, the largest gap in \mathcal{S}_T .

Lower bound (FGKMT, 2018). $J(T) \gg T \frac{\log T \log_3 T}{\log_2 T}$.

Covering: $J(T)$ is the largest y so that there are a_2, a_3, a_5, \dots with

$$\{a_p \pmod p : p \leq T\} \supseteq [0, y]$$

Least prime in an arithmetic progression

Let $p(k, l) = \min\{p : p \equiv l \pmod{k}\}$, $M(k) = \max_{(l,k)=1} p(k, l)$.

Upper bounds

Linnik, 1944. $M(k) \ll k^L$. (**Xylouris** - $L = 5.18$).

ERH: $L = 2 + \varepsilon$; Chowla conjecture: $L = 1 + \varepsilon$.

Lower bounds

Trivial: $M(k) \gg \phi(k) \log k$.

Prachar; Schinzel - 1961/62. For infinitely many k ,

$$M(k) \gg \phi(k) \log k \frac{\log_2 k \log_4 k}{(\log_3 k)^2}. \quad (1)$$

Wagstaff (1978) - (1) holds for all prime k .

Pomerance (1980) - (1) holds for almost all k , in fact all k with at most $\exp(\log_2 k / \log_3 k)$ prime factors.

Least prime in an arithmetic progression, II

Pomerance: $M(k) \gg \phi(k) \log k \frac{\log_2 k \log_4 k}{(\log_3 k)^2}$ for almost all k .

Lemma (Pomerance): Let $j(m)$ be the maximal gap between numbers coprime to m . If $0 < m \leq k/j(k)$ and $(m, k) = 1$ then $M(k) > kj(m)$.

Take $m = \prod_{\substack{p \leq (1-\delta) \log k \\ p \nmid k}} p$ need a lower bound on $j(m)$.

Corollary (FGKMT, 2018). If k has no prime factor $\leq \log k$, then

$$M(k) \gg \phi(k) \log k \frac{\log_2 k \log_4 k}{\log_3 k}. \quad (2)$$

Theorem (J. Li-K. Pratt-G. Shakan, 2017)

Inequality (2) holds for all k with at most $\exp\{(1/2 - \varepsilon) \frac{\log_2 k \log_4 k}{\log_3 k}\}$ prime factors.

Least Prime in an A.P. – conjectures

Conjecture (folklore): $M(k) \ll k \log^{2+\varepsilon} k$.

Conjecture (Wagstaff, 1979): $M(k) \sim \phi(k) \log^2 k$ for “most k ”

Wagstaff’s heuristic: Given $l < k(\log k)^3$, $(l, k) = 1$, the “probability” that l is prime is $\approx \frac{k/\phi(k)}{\log k}$. So

$$\begin{aligned} \mathbb{P}(l, l+k, \dots, l + \lfloor m \log k \rfloor k \text{ all composite}) &\sim \left(1 - \frac{k/\phi(k)}{\log k}\right)^{m \log k} \\ &\sim e^{-m/\phi(k)}. \end{aligned}$$

Hence

$$\begin{aligned} \mathbb{P}(M(k) \leq mk \log k) &\sim \left(1 - e^{-mk/\phi(k)}\right)^{\phi(k)} \\ &\sim \exp\{-\phi(k)e^{-mk/\phi(k)}\}. \end{aligned}$$

Threshold value $m \sim \frac{\phi(k)}{k} \log \phi(k)$

Least prime in AP: Refined conjectures

Conjecture (Li-Pratt-Shakan, 2017)

$$\liminf_{k \rightarrow \infty} \frac{M(k)}{\phi(k) \log^2 k} = 1, \quad \limsup_{k \rightarrow \infty} \frac{M(k)}{\phi(k) \log^2 k} = 2.$$

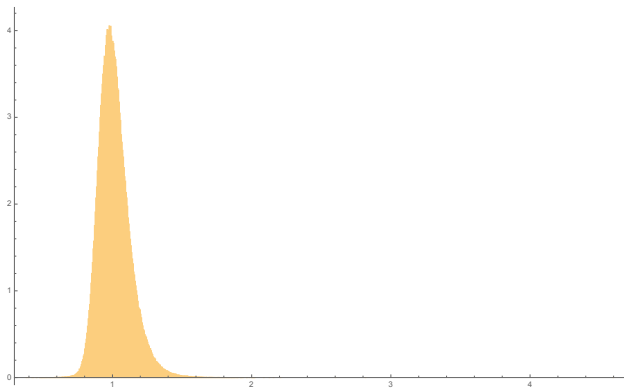


Figure: Histogram for $M(k)/\phi(k) \log(\phi(k)) \log k$ for $k \leq 10^6$

Least prime in AP: Li-Pratt-Shakan conjecture

Rough heuristic argument: “coupon collectors problem”

p_n - n -th prime, m_k - a param, $a \in \mathbb{Z}/k\mathbb{Z}$

E_a - the event that $p_1, p_2, \dots, p_{m_k} \not\equiv a \pmod{k}$

A_k - the event $\{M(k) > p_{m_k}\} = \bigcup_a E_a$.

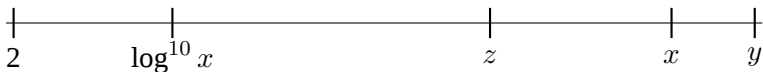
We have $\mathbb{P}(A_k) \sim \sum_a \mathbb{P}(E_a) \sim \phi(k)e^{-m/\phi(k)}$

If $m = \lambda\phi(k) \log \phi(k)$, this is $\sim \phi(k)^{1-\lambda}$.

(1) If $\lambda \approx 1$, threshold for being “small”. Justifies Wagstaff and \liminf .

(2) When $\lambda \approx 2$, threshold for $\mathbb{P}(A_k)$ holding for infinitely many k (using Borel-Cantelli). Justifies \limsup .

New lower bounds on $J(T)$: outline



$$y = cx \frac{\log x \log_3 x}{\log_2 x}, z = x^{c \frac{\log_3 x}{\log_2 x}} \quad \text{Want } \{a_p \bmod p : p \leq x\} \supseteq [0, y]$$

- 1 $a_p = 0$ for $p \in (z, x/4] \cap [2, \log^{10} x]$. Uncovered: z -smooth numbers and primes;
- 2 Random, uniform choice of a_p , $\log^{10} x < p \leq z$.
- 3 Strategic choice of a_p , $x/4 < p \leq x/2$ to cover many remaining elements.
- 4 (trivial) Use single a_p for each $x/2 < p \leq x$ to cover each remaining uncovered element.

Stage 2: random, uniform choice of a_p

\mathcal{Q}_1 - the set of uncovered elements after stage 1 (mainly primes).

$$\begin{aligned}\mathcal{Q}_2(\mathbf{a}) &:= \text{the set of uncovered elements after stage 2} \\ &= \mathcal{Q}_1 \setminus \bigcup_{p \in \mathcal{P}} (a_p \pmod p),\end{aligned}$$

where \mathcal{P} is the set of primes in $(\log^{10} x, z]$.

Lemma

w.h.p., $|\mathcal{Q}_2(\mathbf{a})| \sim \sigma \pi(y)$, $\sigma := \prod_{p \in \mathcal{P}} (1 - 1/p)$

Proof. Recall $|\mathcal{Q}_1| \sim \pi(y)$. We calculate 1st, 2nd moments:

$$\begin{aligned}\mathbb{E}|\mathcal{Q}_2(\mathbf{a})| &= \sum_{n \in \mathcal{Q}_1} \mathbb{P}(n \in \mathcal{Q}_2(\mathbf{a})) \\ &= \sum_{n \in \mathcal{Q}_1} \prod_{p \in \mathcal{P}} \mathbb{P}(n \not\equiv a_p \pmod p) = \sigma |\mathcal{Q}_1|.\end{aligned}$$

Lemma

w.h.p., $|\mathcal{Q}_2(\mathbf{a})| \sim \sigma\pi(y)$, $\sigma := \prod_{p \in \mathcal{P}} (1 - 1/p)$

Proof (continued). For the 2nd moment,

$$\begin{aligned}\mathbb{E}|\mathcal{Q}_2(\mathbf{a})|^2 &= \sum_{n_1, n_2 \in \mathcal{Q}_1} \mathbb{P}(n_1, n_2 \in \mathcal{Q}_2(\mathbf{a})) \\ &= \mathbb{E}|\mathcal{Q}_2(\mathbf{a})| + \sum_{\substack{n_1, n_2 \in \mathcal{Q}_1 \\ n_1 \neq n_2}} \prod_{p \in \mathcal{P}} \mathbb{P}(n_i \not\equiv a_p \pmod{p}; i = 1, 2).\end{aligned}$$

Now $\mathbb{P}(n_i \not\equiv a_p \pmod{p}; i = 1, 2) = 1 - 2/p$ unless $p|n_1 - n_2$, which occurs for $O(\log x)$ primes p . Get

$$\begin{aligned}\mathbb{E}|\mathcal{Q}_2(\mathbf{a})|^2 &= \sum_{n_1, n_2 \in \mathcal{Q}_1} \sigma^2 (1 + O((\log x)^{-9})) \\ &= (\sigma|\mathcal{Q}_1|)^2 (1 + O((\log x)^{-9})).\end{aligned}$$

The Lemma follows from the 1st, 2nd moment bounds plus Chebyshev's inequality.

Random residues: higher correlations

Define the random sifted set

$$\mathcal{S}(\mathbf{a}) = \mathbb{Z} \setminus \bigcup_{p \in \mathcal{P}} (a_p \pmod p).$$

In particular, $\mathcal{Q}_2(\mathbf{a}) = \mathcal{Q}_1 \cap \mathcal{S}(\mathbf{a})$.

Lemma ($\mathcal{S}(\mathbf{a})$ correlations)

Let n_1, \dots, n_t be distinct integers in $[-y, y]$, with $t \ll \log x$. Then

$$\mathbb{P}(n_1, \dots, n_t \in \mathcal{S}(\mathbf{a})) = \sigma^t (1 + O(t^2 / \log^9 x)).$$

Stage 3: Strategic choices

We choose a_p , $x/4 < p \leq x/2$ to have two properties:

- (a) the sets $e_p := (a_p \bmod p) \cap \mathcal{Q}_2(\mathbf{a})$ are large (on average) for $x/4 < p \leq x/2$;
- (b) the collection of sets $\{e_p : x/4 < p \leq x/2\}$ covers most of $\mathcal{Q}_2(\mathbf{a})$ *efficiently* (little overlap).

Item (a) is accomplished using a *weighted, prime detecting sieve*.

Recall that \mathcal{Q}_1 , and hence $\mathcal{Q}_2(\mathbf{a})$ consists mainly of primes.

The **average** of $|e_p|$, over all choices of a_p is

$$\frac{|\mathcal{Q}_2(\mathbf{a})|}{p} \asymp \frac{|\mathcal{Q}_2(\mathbf{a})|}{x} \sim \frac{\sigma y}{\log x} = o(1).$$

So a random (uniform) choice for a_p is very inefficient!

Item (b) is accomplished using *hypergraph covering methods*.

Primes in sparse A.P.'s: weighted sieves

Admissible k -tuple h_1, \dots, h_k

Prime-detecting weight fcn. $w(n) = w(n; \mathbf{h})$ (GPY-Maynard-Tao)

Goal: Find $w(n)$ which is large when many of the numbers $n + h_i$ are prime, and small otherwise, and such that the sums

$$T_1(N) = \sum_{n \asymp N} w(n), \quad T_2(N) = \sum_{n \asymp N} \sum_{j=1}^k \mathbf{1}(n + h_j \text{ prime}) w(n)$$

can both be evaluated asymptotically. If

$$T_2(N) \geq r T_1(N), \quad (\mathbf{w})$$

then there are some values of $n \asymp N$ such that the set $\{n + h_1, \dots, n + h_k\}$ contains at least r primes.

Theorem (Maynard, 2016)

For $k \leq (\log N)^{1/5}$, $h_i \ll x^c$, \exists weights s.t. (\mathbf{w}) holds with $r \sim \log k$.

Sieve weights

Fix an admissible k -tuple $1 \leq h_1 < \dots < h_k \ll k^2$, $k \sim (\log x)^{1/5}$.

Let $x/4 < p \leq x/2$. Then $\mathbf{h}_p := (h_1 p, \dots, h_k p)$ is admissible.

Define the weight $w(p, n)$ by

$$w(p, n) = w(n; \mathbf{h}_p); \quad (0 \leq n \leq y).$$

Two crucial estimates (after suitable normalization)

Theorem (FGKMT)

$$(a) \quad \frac{1}{\pi(y)} \sum_{n \leq y} w(p, n) \sim 1 \quad (p \in \mathcal{P});$$

$$(b) \quad \frac{1}{|\mathcal{P}|} \sum_{p \in \mathcal{P}} \sum_{i=1}^k w(p, q - h_i p) \sim \log_2 x \quad (x < q \leq y)$$

(a) is a T_1 sum; (b) is a T_2 -type sum (with a *different* k -tuple).

Weighted choice of a_p for $x/4 < p \leq x/2$

Select a **random number in $\mathbf{n}_p \in [0, y]$** with probability proportional to $w(p, n)$; that is

$$\mathbb{P}(\mathbf{n}_p = n) := \frac{w(p, n)}{\sum_l w(p, l)} \quad (0 \leq n \leq y).$$

Bigger weight when many of $n + h_i p$ are prime.

For each $p \in \mathcal{P}$ and fixed (non-random) vector \vec{a} , let

$$X_p(\vec{a}) := \mathbb{P}(\mathbf{n}_p + h_i p \in \mathcal{S}(a) \text{ for all } i = 1, \dots, k).$$

Lemma ($\mathcal{Q}_2(\mathbf{a})$ correlations) + Chebyshev $\Rightarrow X_p(\mathbf{a}) \sim \sigma^k$ w.h.p.

Spse $\vec{\mathbf{a}} = \vec{a}$. Define r.v. \mathbf{m}_p by

$$\mathbb{P}(\mathbf{m}_p = m | \mathbf{a} = \vec{a}) := \frac{Z_p(\vec{a}; m)}{X_p(\vec{a})},$$

$$Z_p(\vec{a}; m) = \begin{cases} \mathbb{P}(\mathbf{n}_p = m) & \text{if } m + h_j p \in \mathcal{S}(\vec{a}) \text{ for } j = 1, \dots, k \\ 0 & \text{otherwise,} \end{cases}$$

weights, II

$$\mathbb{P}(\mathbf{m}_p = m | \mathbf{a} = \vec{a}) := \frac{Z_p(\vec{a}; m)}{X_p(\vec{a})},$$

$$Z_p(\vec{a}; m) = \begin{cases} \mathbb{P}(\mathbf{n}_p = m) & \text{if } m + h_j p \in \mathcal{S}(\vec{a}) \text{ for } j = 1, \dots, k \\ 0 & \text{otherwise,} \end{cases}$$

Let $a_p \equiv \mathbf{m}_p \pmod{p}$, $x/4 < p \leq x/2$. Then

- (1) $\mathbf{m}_p + h_i p \in \mathcal{S}(\mathbf{a})$ for all i ;
- (2) (on avg) $|\mathcal{Q}_2(\mathbf{a}) \cap (a_p \pmod{p})| \gtrsim \log k \gg \log_2 x$ (the k -tuple contains many primes)

If the sets $e_p = \mathcal{Q}_2(\mathbf{a}) \cap (a_p \pmod{p})$ have little overlap (efficiently chosen), they cover about $\gg x \log_2 x / \log x$ elements. Good if

$$\sigma \frac{y}{\log x} \leq c \frac{x \log_2 x}{\log x}.$$