

Last time -

Introduced 1<sup>st</sup> knot & link invariant,  $\text{Tri}: \text{Link}(\mathbb{R}^3) \rightarrow \mathbb{Z}$ Where  $\text{Link}(\mathbb{R}^3) = \{L \subset \mathbb{R}^3 \mid L \text{ a link}\} \supset \text{Knot}(\mathbb{R}^3)$ ,
$$\text{Tri}(K) = \# \text{ of tricolorings of diagram } D \text{ for } K$$

(color over arcs w/ 3 colors, all or one of colors, at least 2 colors.)



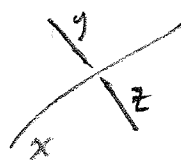
It is an invt ( $\text{Tri}(K) = \text{Tri}(K')$  if  $K \sim K'$ ), by Reidemeister Thm we need only check  $\text{Tri}$  is invt by isotopy (of course) & 3 moves.

More colors? Yes, and more structure...

Let  $\mathbb{Z}/p\mathbb{Z} = \text{integers mod } p$   <sup>$p$  a prime  $\geq 3$</sup>  = quotient of  $\mathbb{Z}$  by prime ideal  $p\mathbb{Z}$   
 = equivalence classes in  $\mathbb{Z}$  via equiv. rel'n  $x \sim y \iff x - y \in p\mathbb{Z}$ .

addition & multiplication of equiv. classes (or any representatives) define a field structure on  $\mathbb{Z}/p\mathbb{Z}$ .

A (nontrivial)  $p$ -coloring is an assignment of elt of  $\mathbb{Z}/p\mathbb{Z}$  to each overarc

st.   $\Rightarrow z + y = 2x \pmod{p}$  (and use at least 2 colors)

Exercise I.4 A nontrivial 3-coloring in this sense is the same as tricoloring

with colors  $\mathbb{Z}/3\mathbb{Z}$ .

Exercise I.5 Find a <sup>nontrivial</sup> 7-coloring of the  $5_2$  knot.

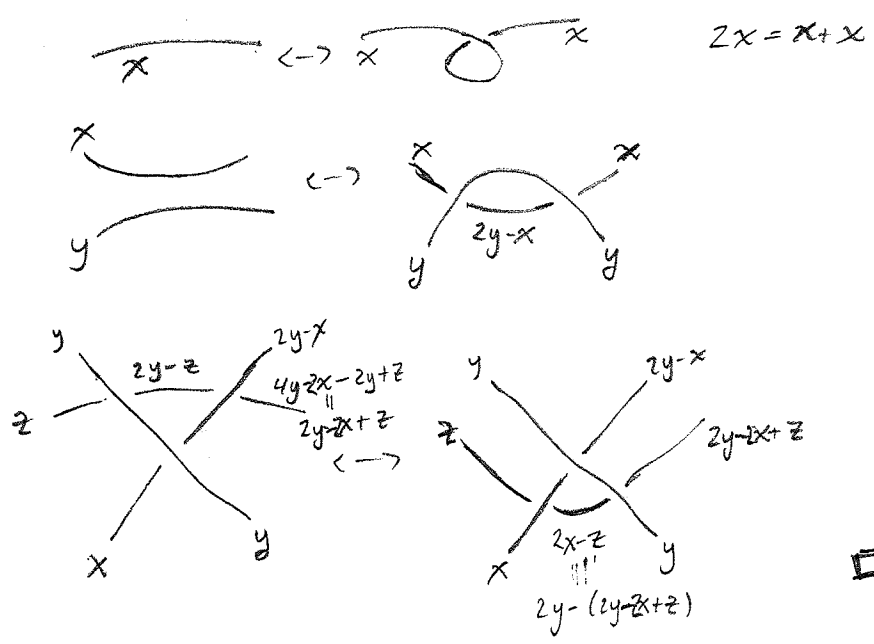


Exercise I.6 Show that there is no nontrivial  $p$ -coloring of the trefoil for  $p > 3$ .



Proposition I.7 The number  $col_p^{(10)}(K)$  of (nontrivial)  $p$ -colorings is a knot/link invariant.

Proof:



Suppose  $K$  is a knot,  $D$  a diagram. Let  $X_D =$  overcrossing arcs of  $D$ .

The set of  $p$ -colorings is a subset of functions  
 $C_p(D) \subseteq \{ \chi : X_D \rightarrow \mathbb{Z}_p \}$  vector space  $\cong (\mathbb{Z}_p)^{|X_D|}$

obvise that  $C_p(D)$  is closed under addition &  $\mathbb{Z}_p$ -scalar multiplication.  
 $\Rightarrow$  its a vector subspace!

proof of Prop I.7 actually produces an isomorphism  $C_p(D) \cong C_p(D')$  whenever  $D \leftrightarrow D'$  by R-moves

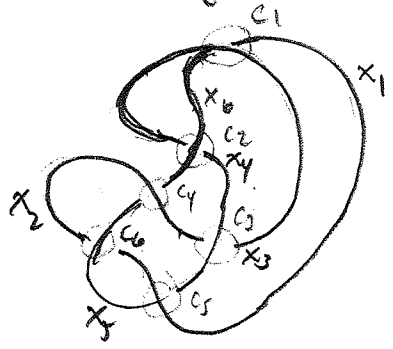
Corollary I.8  $C_p(K) = C_p(D)$  defines an invariant into isomorphic classes of  $\mathbb{Z}_p$ -vector spaces.

How to compute? (or decide nontriviality)

System of linear eqns.

Let  $x_1, \dots, x_k$  variables, one for each cover  $x_i \in X_i$ .

for each crossing, get a linear eqn (coef coeffs in  $\mathbb{Z}/p\mathbb{Z}$ )



$$\begin{matrix}
 c_1: & x_1 + x_6 = 2x_3 & \text{or} & 2x_3 - x_1 - x_6 = 0 \\
 \begin{pmatrix}
 -1 & 0 & 2 & 0 & 0 & -1 \\
 0 & 0 & -1 & -1 & 0 & 2 \\
 0 & -1 & -1 & 2 & 0 & 0 \\
 0 & 2 & 0 & 0 & -1 & -1 \\
 2 & 0 & 0 & -1 & -1 & 0 \\
 -1 & -1 & 0 & 0 & 2 & 0
 \end{pmatrix} & = & M
 \end{matrix}$$

$\Rightarrow$  matrix for diagram  $M_D$  — can think of this in  $M_k(\mathbb{Z})$  or  $M_k(\mathbb{Z}/p\mathbb{Z})$  for any  $p$ .

exactly a  $p$ -coloring  $\Leftrightarrow$  vector  $x = \begin{pmatrix} x_1 \\ \vdots \\ x_k \end{pmatrix} \in (\mathbb{Z}/p\mathbb{Z})^k$  st.  $M_D x = 0 \pmod{p}$   
 $\text{null}_p(M_D) = \text{null space mod } p \cong C_p(D)$

What about nontrivial colorings?  $\begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}$  is always a coloring (basis  $\begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$  for the trivial)

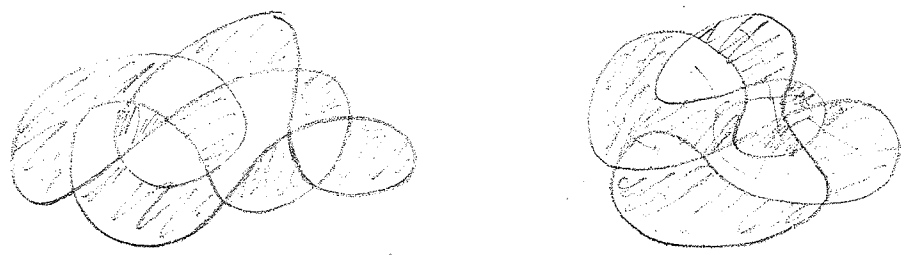
Assuming last color,  $x_k = 0$ , we want a nontrivial soln to  
 $M_D \begin{pmatrix} x_1 \\ \vdots \\ x_{k-1} \\ 0 \end{pmatrix} = 0 \Leftrightarrow \hat{M}_D \begin{pmatrix} x_1 \\ \vdots \\ x_{k-1} \end{pmatrix} = 0$      $\hat{M}_D = M_D - \text{last column.}$

nontrivial  $p$ -coloring exists iff  $\hat{M}_D x \neq 0$  has nontrivial soln mod  $p$ , i.e.  $\text{null}_p(\hat{M}_D)$  nontrivial  
 Want to detect this with a determinant — which one?

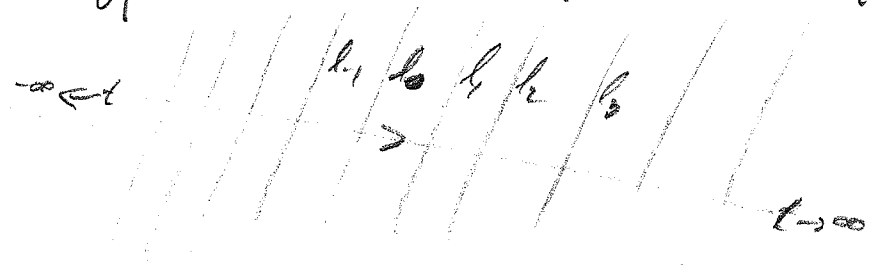
Recall:  $\text{null}_p(\hat{M}_D) = \text{row}_p(\hat{M}_D)^\perp$ .

Lemma I.9 Any row of  $M_D$  (or  $\hat{M}_D$ ) is a linear combination of others.

Lemma I.10  $\exists$  a checkerboard coloring Black/White of Complementary regions of  $D$  so no two colors share an edge.

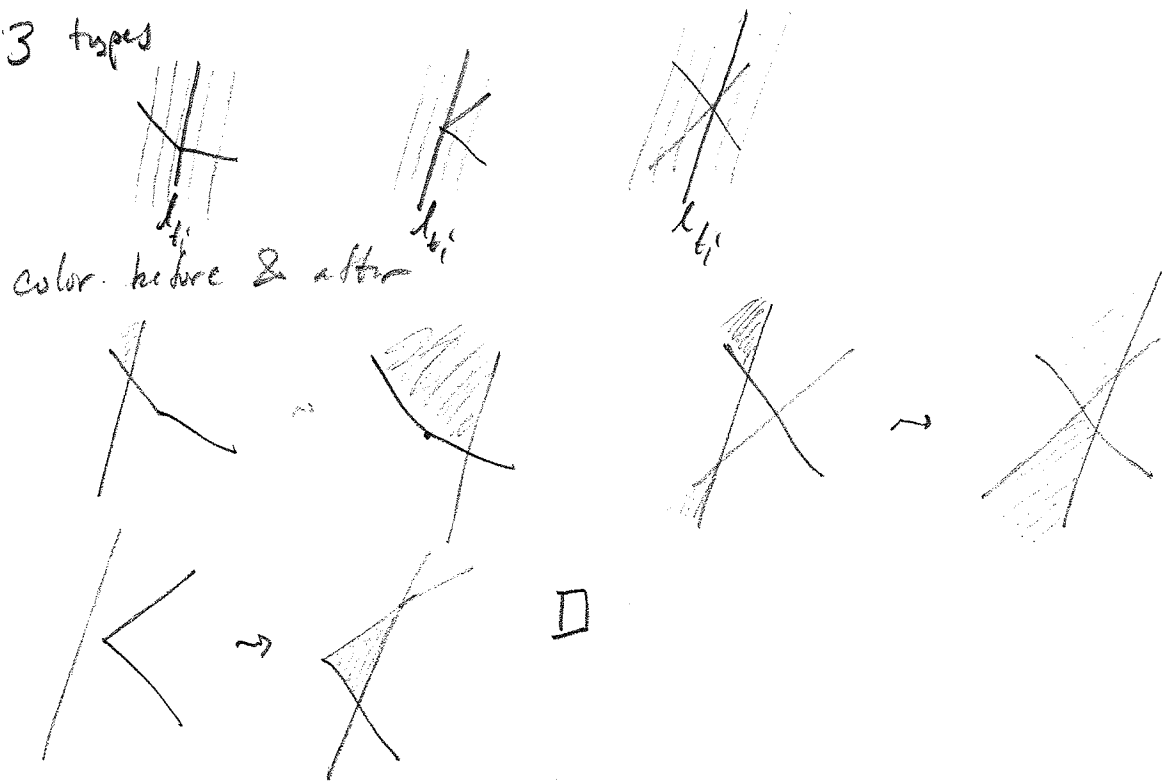


proof: Let  $l$  be a line not parallel to any of edges, not parallel to a line containing multiple vertices. Consider <sup>the</sup> one-parameter family of lines  $\parallel$  to  $l$  sweeping out  $\mathbb{R}^2$ ,  $\{l_t\}_{t \in \mathbb{R}}$



color as we sweep out  $\mathbb{R}^2$ : for  $t \ll 0$ , color all white. "critical points" to  $t$ , i.e.  $t_n$  occur when  $l_{t_n}$  meets a vertex.

3 types

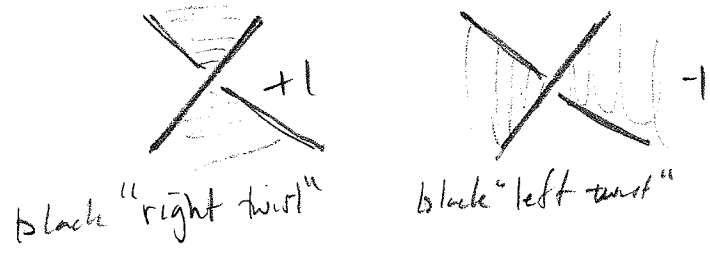


$\square$

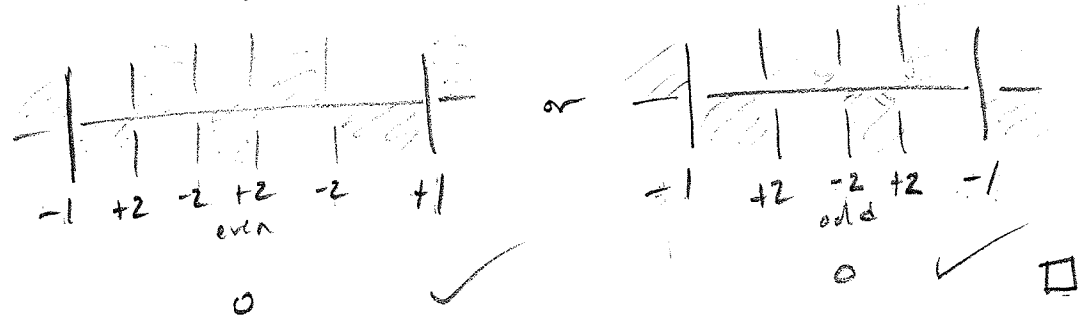
Proof of L I.9 rows of  $M_D \leftrightarrow$  crossings  $c_1 \rightarrow c_k$ .

Claim: Can assign  $+1$  or  $-1$  to each crossing so that associated sum of  $\pm$  rows is 0.

pf checkerboard color diagram. Declare signs by



to check corresponding sum of  $\pm$  rows = 0, suffice to check on each column — these correspond to over arcs. Following the arc along, we see

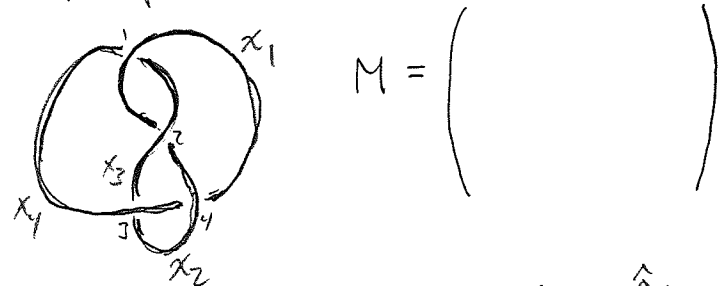


Claim proves the lemma.  $\square$

Now observe that  $\text{row}_p(\hat{M}_D)^\perp = \text{row}_p(\hat{M}_D)^\perp$ , where  $\hat{M}_D$  is obtained by removing a row from  $M_D$  (so,  $\hat{M}_D$  is a minor of  $M_D$ )

Corollary I.11:  $\det(\hat{M}_D) = 0 \pmod p \Leftrightarrow \exists$  nontrivial  $p$ -coloring.

EX. Figure 8



Defn: mod p rank of  $K = \dim$  of  $\text{null}(\hat{M}_D) = \dim(\text{row}_p \hat{M}_D)^\perp$  (dim as  $\mathbb{Z}_p$  v.spac)  
 $= \dim(C_p(K)) - 1$